

## Lecture 5: Word Embeddings

Instructor: Swabha Swayamdipta USC CSCI 544 Applied NLP Sep 10, Fall 2024



#### Lecture Outline

- Quiz 1 Solution
- Recap
  - Logistic Regression
    - Model
    - Loss
    - Optimization
    - Regularization
- Multinomial Logistic Regression
- Word Embeddings

# Quiz 1: Solutions (Redacted)





- Start Z
- Today: Group Formation Deadline (deadline: midnight)
  - As of now: we have 48 teams?
  - We might make some adjustments for students who registered late
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- All quiz dates were changed slightly to give some space between them

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# Recap: Model (Logistic Regression) + Loss + Optimization

## Ingredients of Supervised Machine Learning

- I. **Data** as pairs  $(x^{(i)}, y^{(i)})$  s.t  $i \in \{1...N\}$ 
  - $x^{(i)}$  usually represented by a feature vector  $\mathbf{x}^{(i)} = [x_1, x_2, ..., x_d]$ ,
    - e.g. word embeddings

#### II. Model

- ullet A classification function that computes  $\hat{y}$ , the estimated class, via  $p(y \mid x)$ 
  - e.g. logistic regression, naïve Bayes

#### III. Loss

- An objective function for learning
  - ullet e.g. cross-entropy loss,  $L_{CE}$

#### IV. Optimization

- An algorithm for optimizing the objective function
  - e.g. stochastic gradient descent
- V. Inference / Evaluation

Learning Phase

## How to get the right y?

- For each feature  $x_i$ , introduce a weight  $w_i$ , which determines the importance of  $x_i$ 
  - ullet Sometimes we have a bias term, b or  $w_0$ , which is just another weight not associated to any feature
  - Together, all parameters can be termed as  $\theta = [w; b]$
- We consider the weighted sum of all features and the bias

$$z = \left(\sum_{d} w_{d}x_{d} + b\right)$$

$$= \mathbf{w} \cdot \mathbf{x} + b$$
If high,  $\hat{y} = 1$  If low,  $\hat{y} = 0$ 

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But how to determine the threshold?

We need probabilistic models!

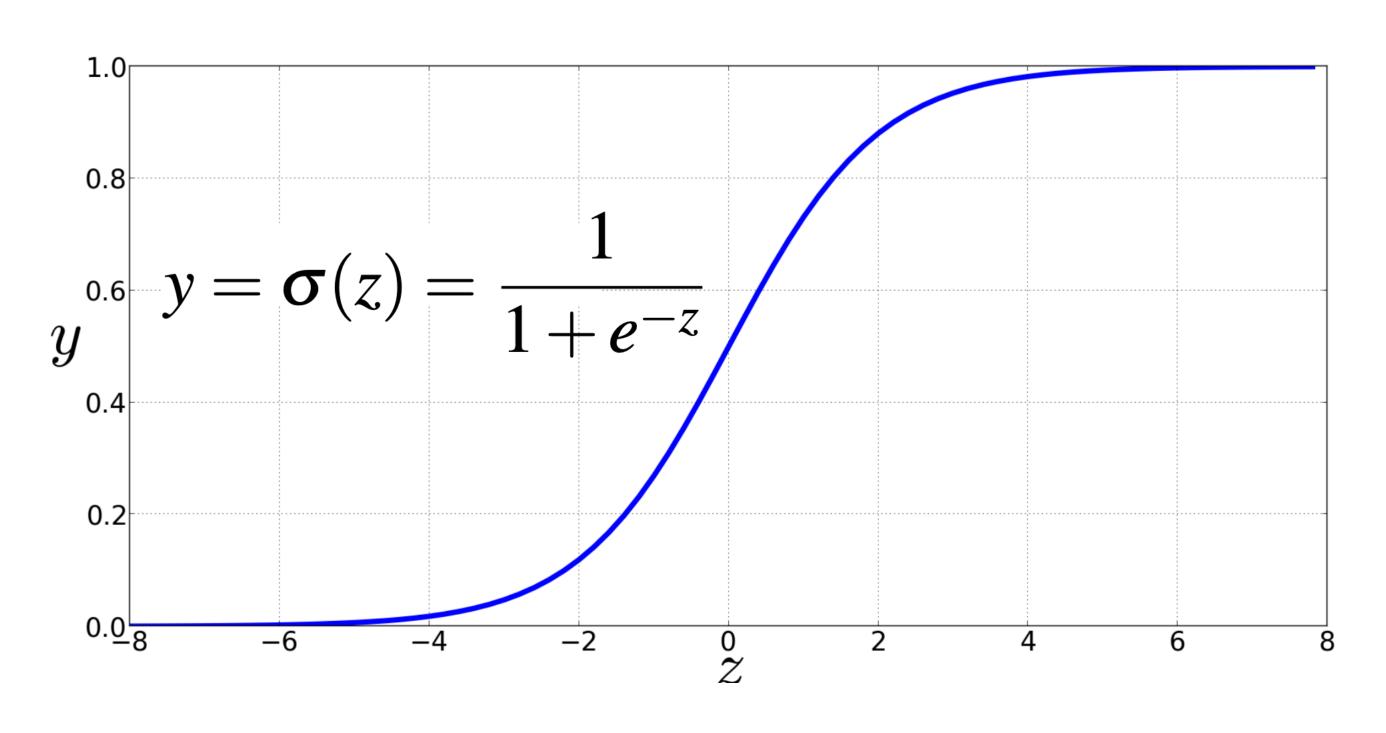
$$P(y = 1 \mid \mathbf{x}; \theta)$$

$$P(y = 0 | \mathbf{x}; \theta)$$

## Solution: Squish it into the 0-1 range

$$z = \mathbf{w} \cdot \mathbf{x} + b \qquad z \in \mathbb{R}$$

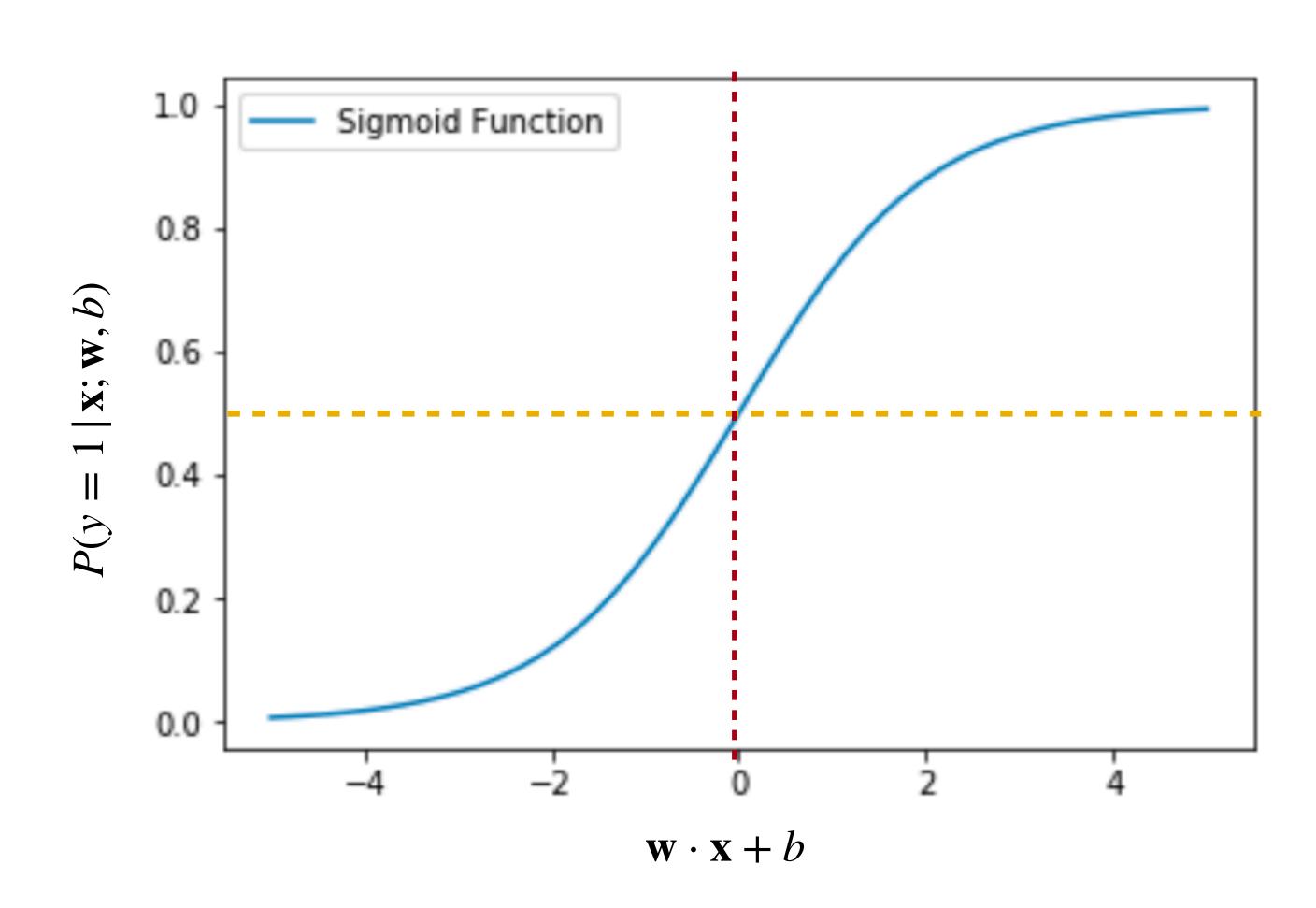
- Sigmoid Function,  $\sigma(\cdot)$ 
  - Non-linear!
- Compute z and then pass it through the sigmoid function
- Treat it as a probability!
- Also, a differentiable function, which makes it a good candidate for optimization (more on this later!)



$$P(y = 1 \mid \mathbf{x}; \theta) = \sigma(\mathbf{w} \cdot \mathbf{x} + b) \qquad P(y = 0 \mid \mathbf{x}; \theta) = \sigma(-(\mathbf{w} \cdot \mathbf{x} + b))$$

$$\hat{y} = \begin{cases} 1 & \text{if } p(y = 1 \mid x) > 0.5 \\ 0 & \text{otherwise} \end{cases}$$

$$\hat{y} = \begin{cases} 1 & \text{if } \mathbf{w} \cdot \mathbf{x} + b > 0 \\ 0 & \text{if } \mathbf{w} \cdot \mathbf{x} + b \le 0 \end{cases}$$

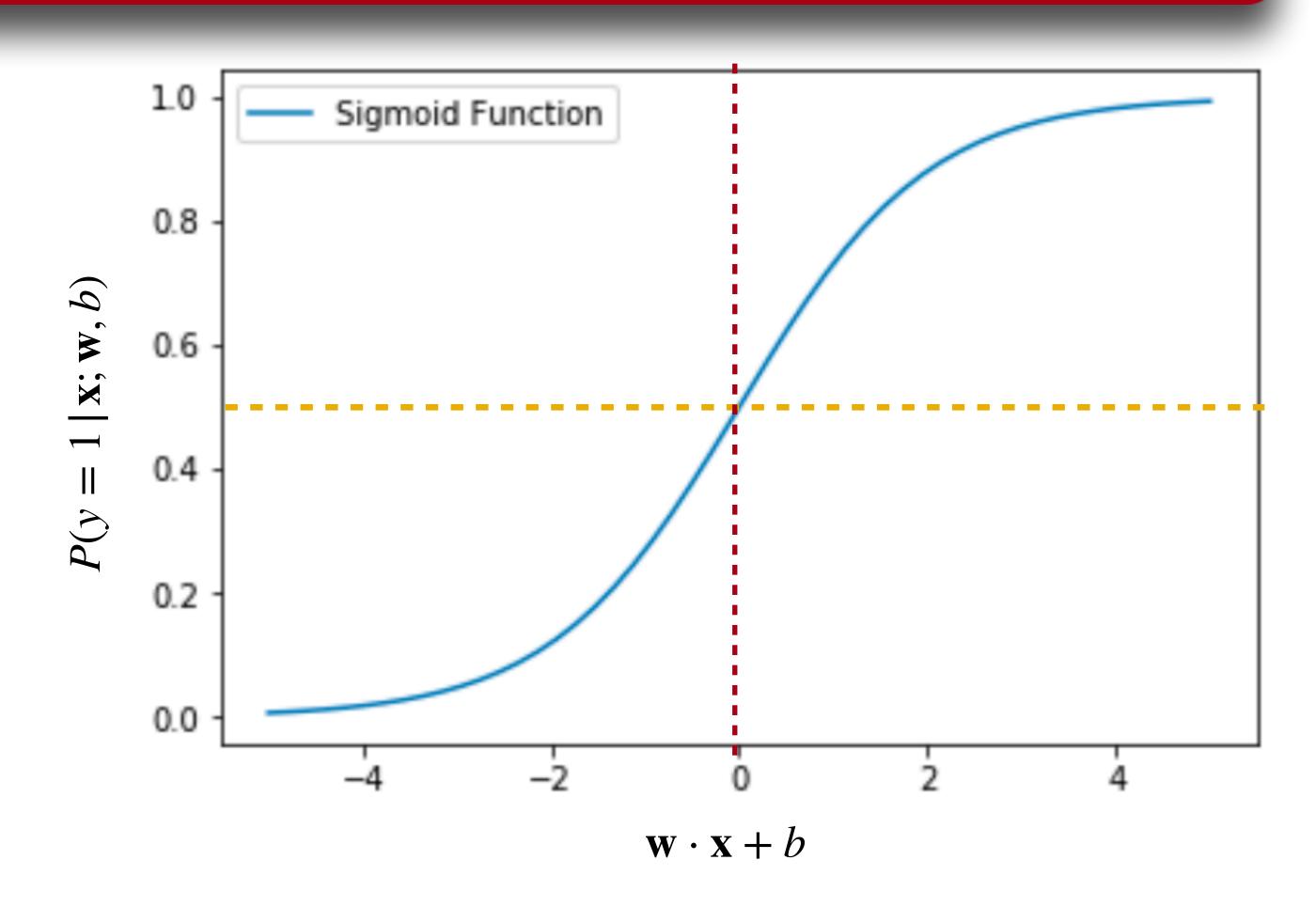


#### Inference under a Logistic Regression Model

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**Decision Boundary** 

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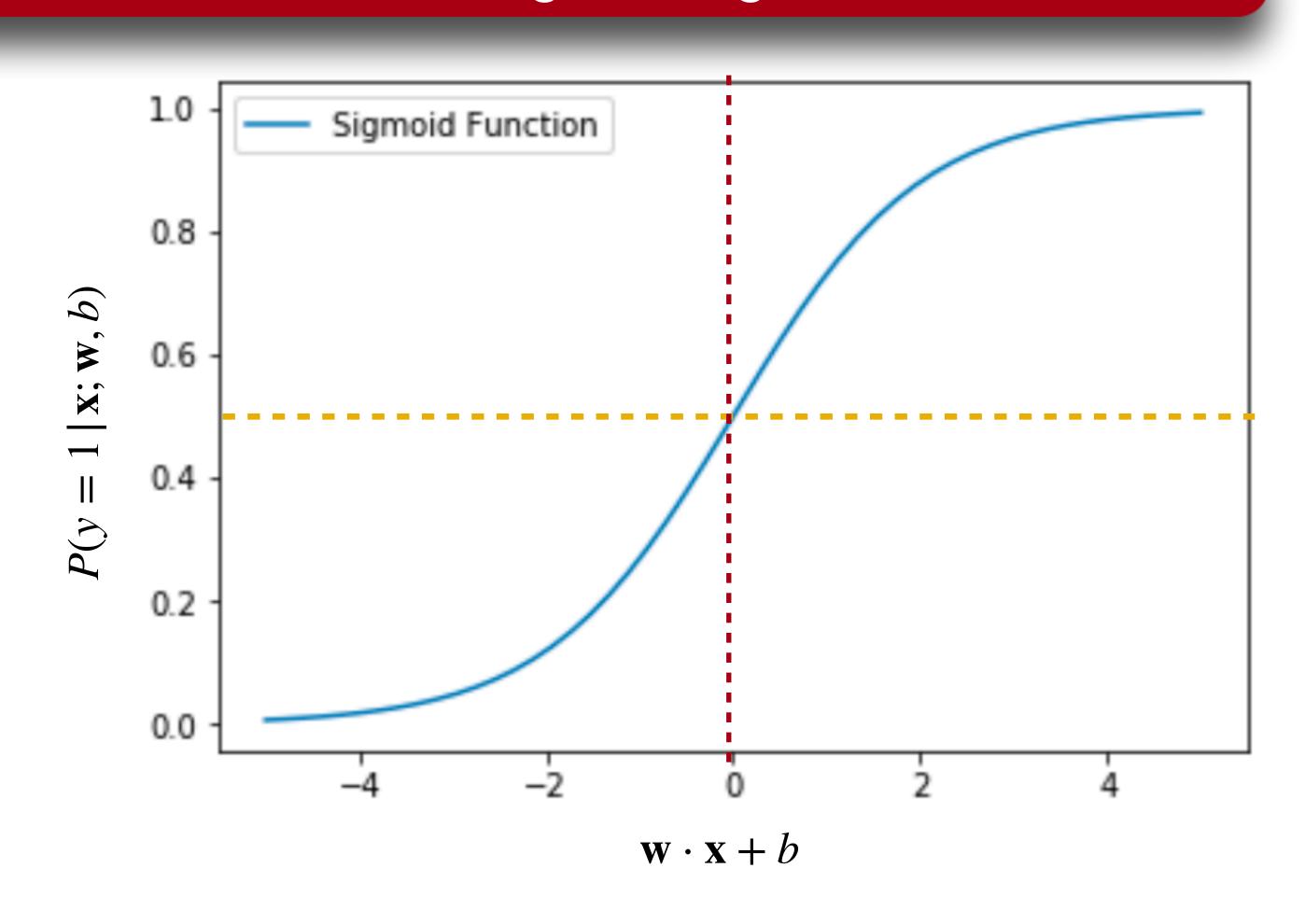
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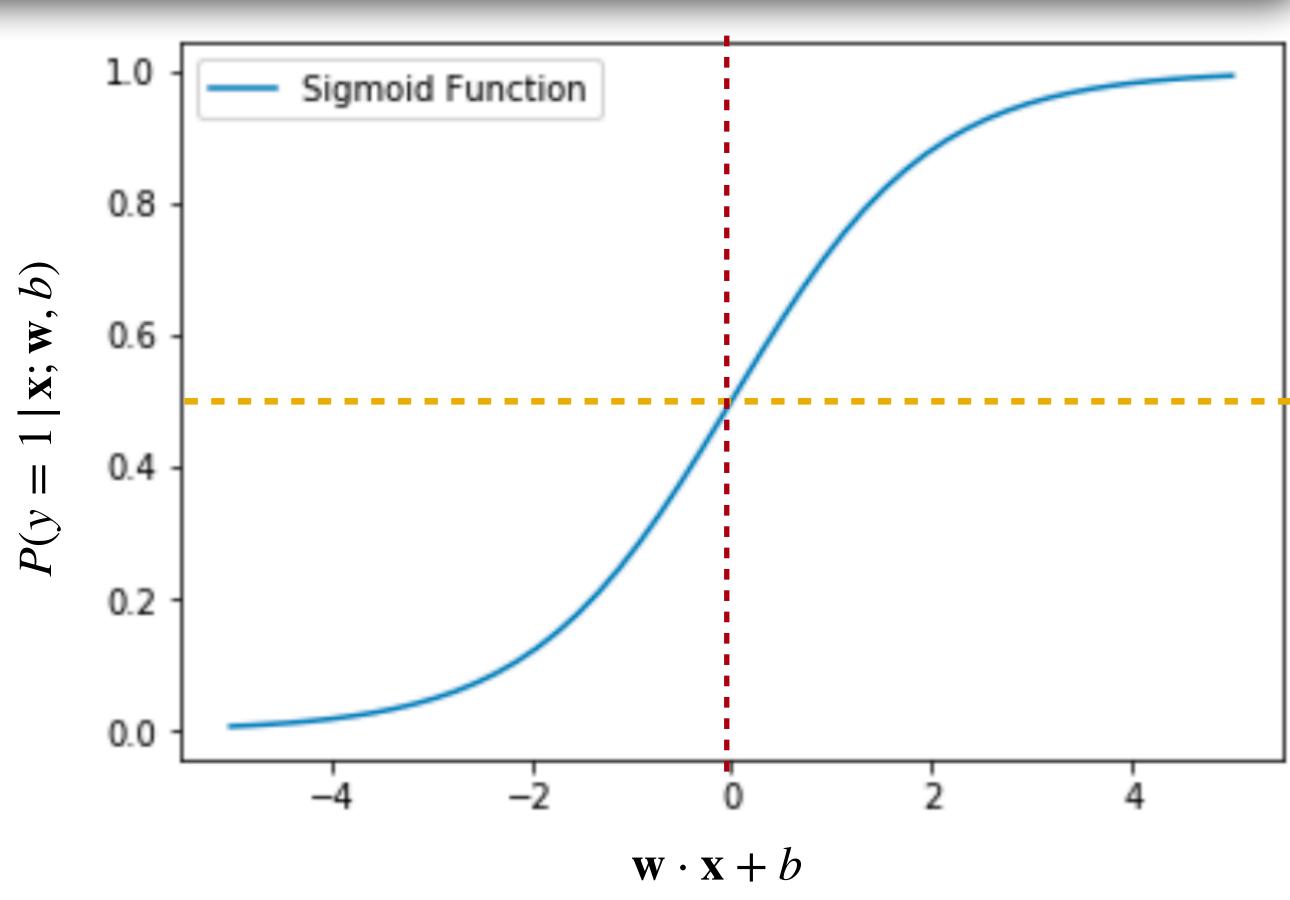
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Often  $\hat{y}$  is used to indicate probability:

$$\hat{y} = P(y = 1 \mid \mathbf{x}; \theta) = \sigma(\mathbf{w} \cdot \mathbf{x} + b)$$





For a single observation

**Goal**: maximize probability of the correct label p(y|x)

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Data Likelihood

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Data Likelihood

Estimated probabilities →

	$\hat{y} = 0$	$\hat{y} = .3$	$\hat{y} = .5$	$\hat{y} = .7$	$\hat{y} = 1$
y = 0	1	0.7	0.5	0.3	0
y = 1	0	0.3	0.5	0.7	1

## Minimizing negative log likelihood

**Goal**: maximize probability of the correct label  $p(y | \mathbf{x})$ 

Maximize: 
$$\log p(y|x) = \log(\hat{y}^y(1-\hat{y})^{1-y})$$
  
=  $y \log \hat{y} + (1-y)\log(1-\hat{y})$ 

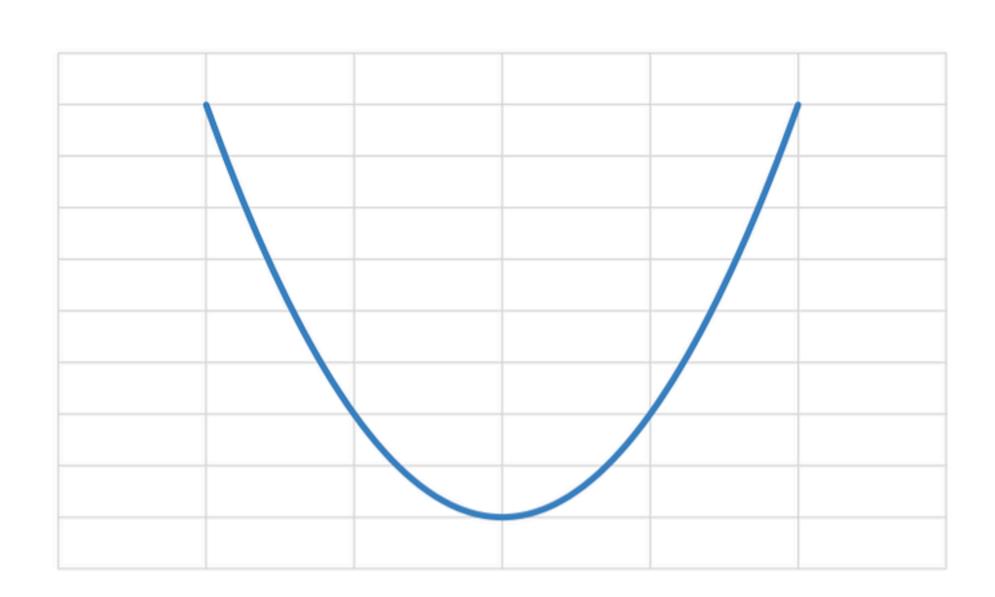
Measures how well the training data matches the proposed model distribution and how good the model distribution is

Now flip the sign for something to minimize (we minimize the loss / cost)

Minimize: 
$$L_{CE}(y, \hat{y}) = -\log p(y|x) = -\left[y\log \hat{y} + (1-y)\log(1-\hat{y})\right]$$
  
=  $-\left[y\log \sigma(\mathbf{w}\cdot\mathbf{x} + b) + (1-y)\log \sigma(-(\mathbf{w}\cdot\mathbf{x} + b))\right]$ 

Cross-Entropy
Loss

#### Logistic Regression: Loss



#### Convex function

- Has only one option for steepest gradient
  - Or one minimum
- Gradient descent starting from any point is guaranteed to find the minimum



Non-convex function

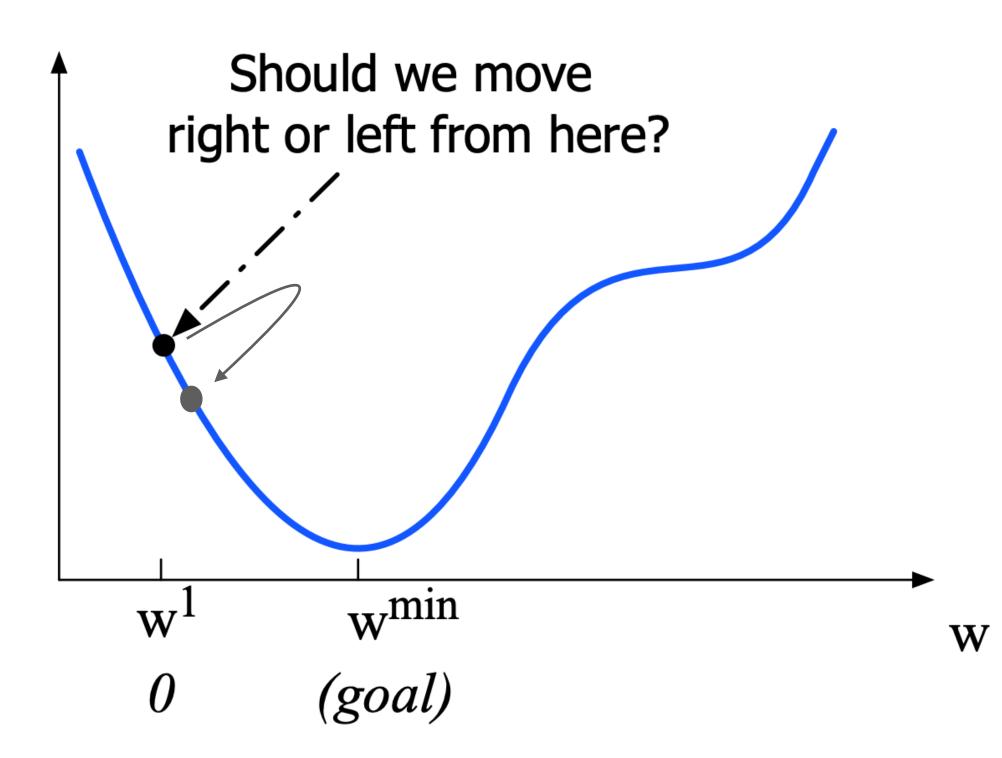
Neural Networks multiple alternatives

#### Gradients

Loss

The **gradient** of a function of many variables is a vector pointing in the direction of the greatest increase in a function.

Find the gradient of the loss function at the current point and move in the **opposite** direction.



But by how much?

Gradient Descent

## Gradient Updates

- Move w by the value of the gradient  $\frac{\partial}{\partial w} L(f(x; w), y^*)$ , weighted by a learning rate  $\eta$
- Higher learning rate means move w faster

 $\eta$  Too high: the learner will take big steps and overshoot

$$w_{t+1} = w_t - \eta \frac{\partial}{\partial w} L(f(x; w), y^*)$$

 $\eta$  Too low: the learner will take too long

If parameter  $\theta$  is a vector of d dimensions:

The gradient is just such a vector; it expresses the directional components of the sharpest slope along each of the d dimensions.

## Gradients for Logistic Regression

Case: Sentiment Analysis

Recall: the cross-entropy loss for logistic regression

$$L_{CE}(y, \hat{y}) = -\left[y\log\sigma(\mathbf{w}\cdot\mathbf{x} + b) + (1-y)\log(\sigma(-\mathbf{w}\cdot\mathbf{x} + b))\right]$$

## Gradients for Logistic Regression

Case: Sentiment Analysis

Recall: the cross-entropy loss for logistic regression

$$L_{CE}(y, \hat{y}) = -\left[y\log\sigma(\mathbf{w}\cdot\mathbf{x} + b) + (1 - y)\log(\sigma(-\mathbf{w}\cdot\mathbf{x} + b))\right]$$

Derivatives have a closed form solution:

$$\frac{\partial L_{CE}(y, \hat{y})}{\partial w_j} = [\sigma(\mathbf{w} \cdot \mathbf{x} + b) - y]x_j$$

#### Pseudocode

```
function STOCHASTIC GRADIENT DESCENT (L(), f(), x, y) returns \theta
  \# where: L is the loss function
              f is a function parameterized by 	heta
              \mathbf{x} is the set of training inputs \mathbf{x}^{(1)}, \mathbf{x}^{(2)}, \dots \mathbf{x}^{(N)}
              y is the set of training outputs (labels) y^{(1)}, y^{(2)}, \dots y^{(N)}
\theta \leftarrow 0 (or randomly initialized)
                                                                                 Stochastic Gradient Descent
repeat till done
 for each training tuple(x^{(i)}, y^{(i)}): (in random order)
         1. Compute \hat{y}^{(i)} = f(\mathbf{x}^{(i)}; \theta)
                                               # What is our estimated output \hat{y}^{(i)}?
                                                       # How far off is \hat{y}^{(i)} from the true output y^{(i)}?
         2. Compute the loss L(\hat{y}^{(i)}, y^{(i)})
        3. g \leftarrow \nabla L(f(\mathbf{x}^{(i)}; \theta), y^{(i)})
                                                       # How should we move \theta to maximize loss?
        4. \theta \leftarrow \theta - \eta g
                                                        # Go the other way instead
return \theta
```

#### Mini-Batching

```
function STOCHASTIC GRADIENT DESCENT (L(), f(), x, y, m) returns \theta
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  #
\theta \leftarrow 0 (or randomly initialized)
repeat till done
 for each randomly sampled minibatch of size m:
          1. for each training tuple(\mathbf{x}^{(i)}, y^{(i)}) in the minibatch: (in random order)
              i. Compute \hat{y}^{(i)} = f(\mathbf{x}^{(i)}; \theta)
                                                                                  # What is our estimated output \hat{y}^{(i)}?
              ii. Compute the loss L_{mini} \leftarrow L_{mini} + L(\hat{y}^{(i)}, y^{(i)}) # How far off is \hat{y}^{(i)} from the true output y^{(i)}?
         2. g \leftarrow \frac{1}{m} \nabla L_{mini}(f(\mathbf{x}^{(i)}; \theta), y^{(i)})
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```

Why is this better than stochastic gradient descent?

## Overfitting

- 4-gram model on tiny data will just memorize the data
  - 100% accuracy on the training set
- But it will be surprised by the novel 4-grams in the test data
  - Low accuracy on test set
- Models that are too powerful can overfit the data
  - Fitting the details of the training data so exactly that the model doesn't generalize well to the test set

How to avoid overfitting?

Regularization in logistic regression

Dropout in neural networks

## Regularization

- ullet A solution for overfitting: Add a regularization term  $R(\theta)$  to the loss function
  - (for now written as maximizing logprob rather than minimizing loss)

- ullet Idea: choose an  $R(\theta)$  that penalizes large weights
  - fitting the data well with lots of big weights not as good as
  - fitting the data a little less well, with small weights

$$\hat{\theta} = \arg\min_{\theta} - \sum_{i=1}^{n} \log P(y^{(i)} | \mathbf{x}^{(i)}) + \alpha R(\theta)$$

# L2 / Ridge Regularization

- The sum of the squares of the weights
- The name is because this is the (square of the) L2 norm  $\|\theta\|_2^2$ , = Euclidean distance of  $\theta$  to the origin.

$$R(\theta) = \|\theta\|_{2}^{2} = \sum_{j=1}^{d} \theta_{j}^{2}$$

L2 regularized objective function:

$$\hat{\theta} = \arg \max_{\theta} \sum_{i=1}^{n} \log P(y^{(i)} | x^{(i)}) - \alpha \sum_{j=1}^{d} \theta_{j}^{2}$$

# L1 / Lasso Regularization

- The sum of the (absolute value of the) weights
- Named after the L1 norm  $\|\theta\|_1$  = sum of the absolute values of the weights = Manhattan distance

$$R(\theta) = \|\theta\|_1 = \sum_{j=1}^{d} |\theta_j|$$

L1 regularized objective function:

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  - Positive / negative / neutral sentiment of a document
  - Parts of speech of a word (noun, verb, adjective, adverb, preposition, etc.)
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  - Positive / negative / neutral sentiment of a document
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  - Actionable classes for emergency SMSs
- $\bullet$  If > 2 classes we use the term "multinomial logistic regression"
  - Typically the term "logistic regression" indicates binary classification

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The probability of everything must still sum to 1

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  - Outputs a probability distribution
    - each value in the range [0,1]

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    - ullet each  $z_i$  corresponds to weighted sum of features for the Kth class
  - Outputs a probability distribution
    - each value in the range [0,1]
    - all the values summing to 1

Softmax

$$softmax(z_i) = \frac{\exp(z_i)}{\sum_{j=1}^{K} \exp(z_j)}$$

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$$\mathbf{softmax}(\mathbf{z}) = \left[ \frac{\exp(z_1)}{\sum_{i=1}^K \exp(z_i)}, \frac{\exp(z_2)}{\sum_{i=1}^K \exp(z_i)}, \dots, \frac{\exp(z_K)}{\sum_{i=1}^K \exp(z_i)} \right]$$

# Softmax: Example

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# Softmax: Example

$$z = [0.6, 1.1, 1.5, 1.2, 3.2, 1.1]$$

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softmax(z) = [0.055, 0.090, 0.0067, 0.10, 0.74, 0.010]

#### Binary Logistic Regression

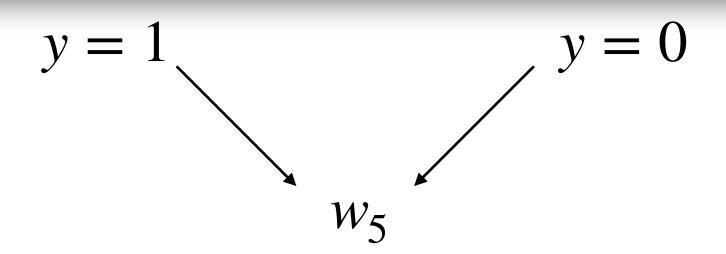
$$y = 1$$

$$y = 0$$

### **USC** Viterbi

## Binary versus Multinomial

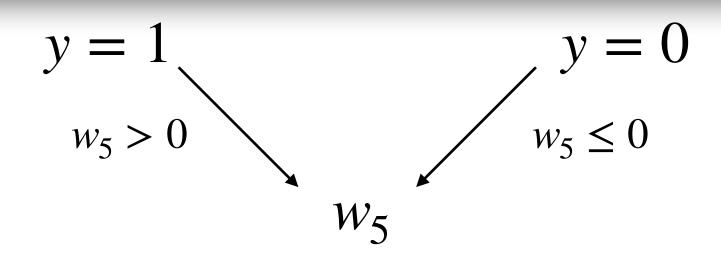
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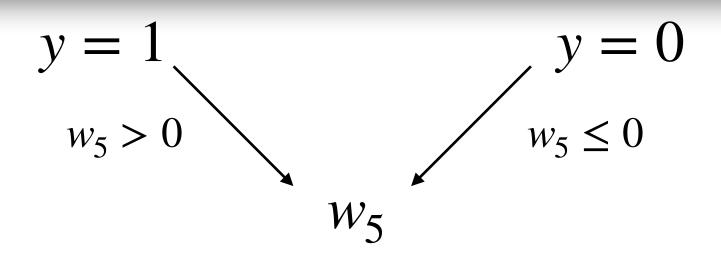
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#### Binary Logistic Regression

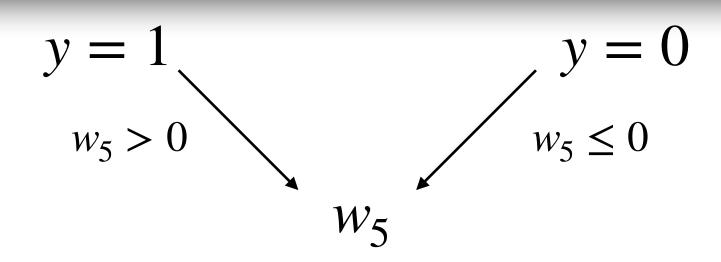


#### Binary Logistic Regression



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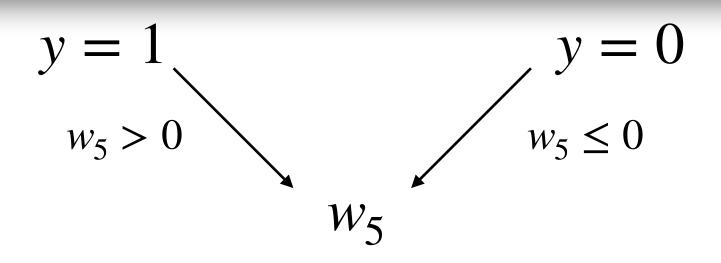


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Why do we NOT need a different weight for each class in binary logistic regression?

$$y = +$$
  $y = \sim$   $y = -$ 

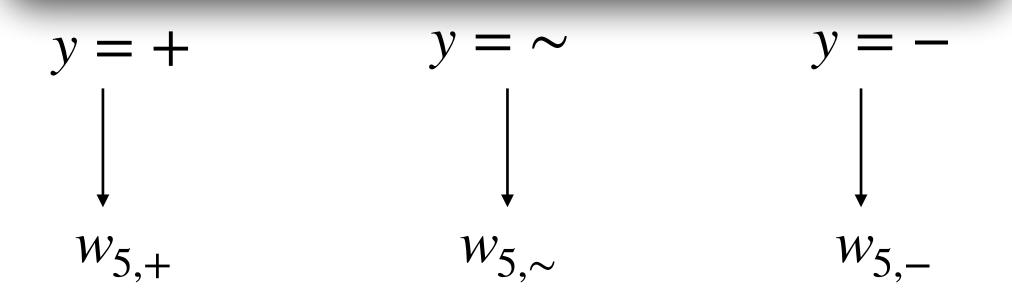
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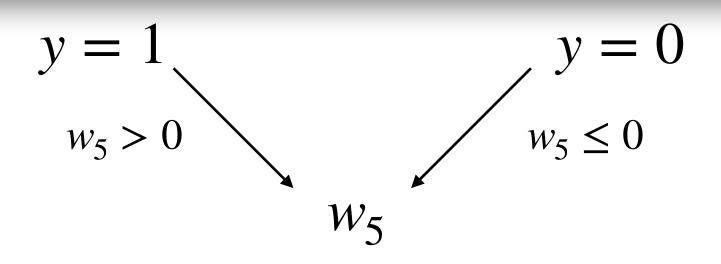
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#### Multinomial Logistic Regression



Separate weights for each class

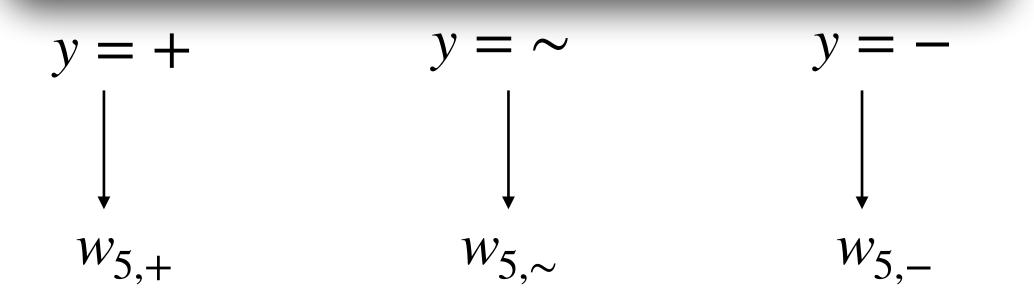
#### Binary Logistic Regression



$$x_5 = \begin{cases} 1 & \text{if "!"} \in \text{doc} \\ 0 & \text{otherwise} \end{cases}$$
  $w_5 = 3.0$ 

Why do we NOT need a different weight for each class in binary logistic regression?

#### Multinomial Logistic Regression



Separate weights for each class

Feature	Definition	$w_{5,+}$	w <sub>5,-</sub>	w <sub>5,0</sub>
$f_5(x)$	<pre></pre>	3.5	3.1	-5.3

$$P(y = c \mid \mathbf{x}; \theta) = \frac{\exp(\mathbf{w}_c \cdot \mathbf{x} + b)}{\sum_{j=1}^K \exp(\mathbf{w}_j \cdot \mathbf{x} + b)}$$

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- ullet But separate weight vectors for each of the K classes, each of dimension d

Parameters are now a matrix  $\mathbf{W} \in \mathbb{R}^{d \times K}$  and  $b \in \mathbb{R}^1$ 

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# Softmax in multinomial logistic regression

Parameters are now a matrix  $\mathbf{W} \in \mathbb{R}^{d \times K}$  and  $b \in \mathbb{R}^1$ 

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# Case Study: Word Neighborhood Predictor

#### **USC** Viterbi

# Case Study: Word Neighborhood Predictor

- Yet do I fear thy nature. It is too full o'the milk of human kindness To catch the nearest way.
- If it were done when 'tis done, then 'twere well It were done quickly.
- Is this a dagger which I see before me, The handle toward my hand?
- My hands are of ;your color, but I shame To wear a heart so white.
- Knock, knock, knock! Who's there,

### THE WALL STREET JOURNAL.

A NEWS COMPONERS COMPANY

ESDAY, SEPTEMBER 23, 2008 - VOL. CCLII NO. 71

\*\* \$2.00

DUIA 11015.69 ¥372.75 -3.3% NASDAQ 2178.98 ¥4.2% MIKKEI 12090.59 ▲ 1.4% DJ STOXX 50 2745.32 ¥2.3% 10-YR TREAS ¥16/32, yield 3.829% OIL \$120.92 ▲ \$16.37 GOLD \$903.90 ▲ \$43.30 EURO \$1.4839 YEN 105.19

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Business & Finance

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  stocks led the declines. C1

  China's benchmark index
- China's benchmark index imped 7.8% as Beijing took nore steps to shore up shares in Russia, signs of consolidaion are emerging. C7, A8
- mited the ability of buyout
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  Both nominees left their options open about whether they
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- 14 sight of the Treasury and more transparency on how the money is spent. A18 Unlike McCain, Obama wants a \$115 billion stimulus package and tax breaks for the middle class.
- U.S. commanders in Afghanistan say they expect a Taliban offensive this winter, usually a relatively peaceful season. A23
- Pakistan's top leaders were to dine at the hotel bombed Saturday but changed the venue, an official said. A23
  ■ Gunmen kidnapped Afghanistan's top diplomat to Pakistan after killing his driver.
- Eleven European tourists and their local guides were abducted in a remote area of Egypt near the Sudanese border. A23

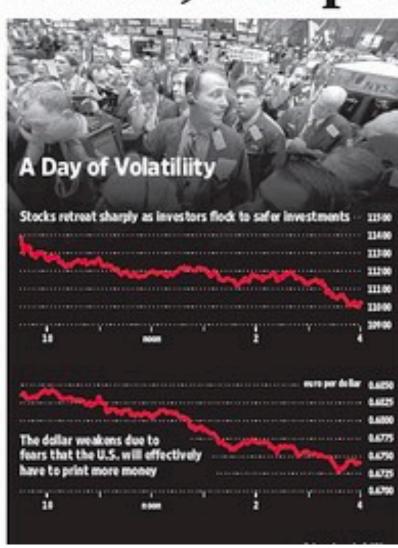
### Doubts on Rescue Plan Spur Fall in Dollar, Leap for Oil

By Tom Lauricella, Liz Rappaport And Joanna Slater

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The tumult came as Democraticleaders in Congress and the Bush administration moved closer to agreement on key details of the behemoth package, amid widening hostility from economists and lawmakers toward the plan. The administra-



#### Funds Get Freer Hand In Buying Bank Stakes

BY PETER LATTMAN AND DAMIAN PALETTA

WASHINGTON—The Federal Reserve, unleashing its latest attempt to inject more cash into the nation's alling banks, loosened longstanding rules that had limited the ability of buyout firms and private investors to take big stakes in banks.

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It marks the latest move by the Fed to rewrite the rulebook in response to the financial crisis. Regulators have grown worried about a shortage of capital at banks, in particular smaller thrifts and regional institutions. The Fed has been crafting this policy for at least two years, and private-equity firms have been aggressively lobbying for more

#### **USC** Viterbi

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Where is it more likely to find the word "doth"?

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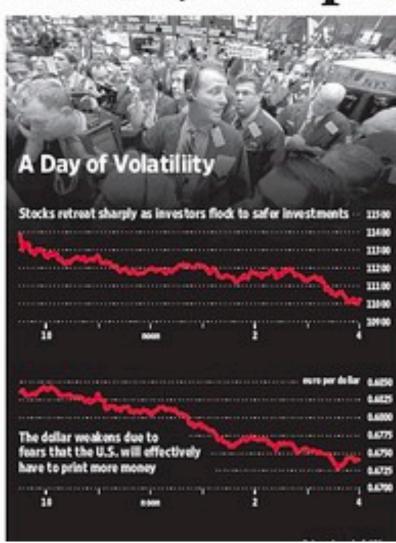
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## Case Study: Word Neighborhood Predictor

What words are likely to co-occur with the word "garnish"?

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#### Ingredients

12 Large Eggs, Hard-boiled And Peeled (See Note)

1/2 c. Mayonnaise

2 tbsp. Prepared Yellow Mustard

1/4 tsp. Kosher Salt

See Nutritional Information ∨

#### **Directions**

Slice eggs in half lengthwise. Pop out yolks and place them in a medium-sized mixing bowl. Mash yolks with a fork and then add mayonnaise, mustard, and salt. Mix until smooth. To achieve maximum smoothness, blitz the yolk mixture a few times with an immersion blender. If you are planning to use a pastry bag and piping tip to add the yolk mixture to the egg whites, it helps to get the yolk mixture nice and smooth.

Divide the yolk mixture evenly between the egg white halves. Garnish as desired. Serve immediately or refrigerate until ready to serve. Fall 2024 CSCI 544: Applied NLP

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Next Class: This is the text classification task we will use logistic regression for to learn word embeddings!

 $\bullet$  Can use an n-gram language model for text classification!



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- ullet However questions and answers (remember one of K classes) need to be part of the same sequence



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  - ullet Renormalize probabilities of the K possible answers so they add to 1
    - Use the softmax function!

## Lecture Outline

- Quiz 1 Solution
- Recap
  - Logistic Regression
    - Model
    - Loss
    - Optimization
    - Regularization
- Multinomial Logistic Regression
- Word Embeddings



# Words, words, words

Words, words

Types, types, types





#### Dictionary

Definitions from Oxford Languages · Learn more



ob·jec·tive

/əb'jektiv/

#### adjective

1. (of a person or their judgment) not influenced by personal feelings or opinions in considering and representing facts.

"historians try to be objective and impartial"

Similar: impartial unbiased unprejudiced nonpartisan disinterested

2. GRAMMAR

relating to or denoting a case of nouns and pronouns used as the object of a transitive verb or a preposition.

#### noun

1. a thing aimed at or sought; a goal.

"the system has achieved its objective"

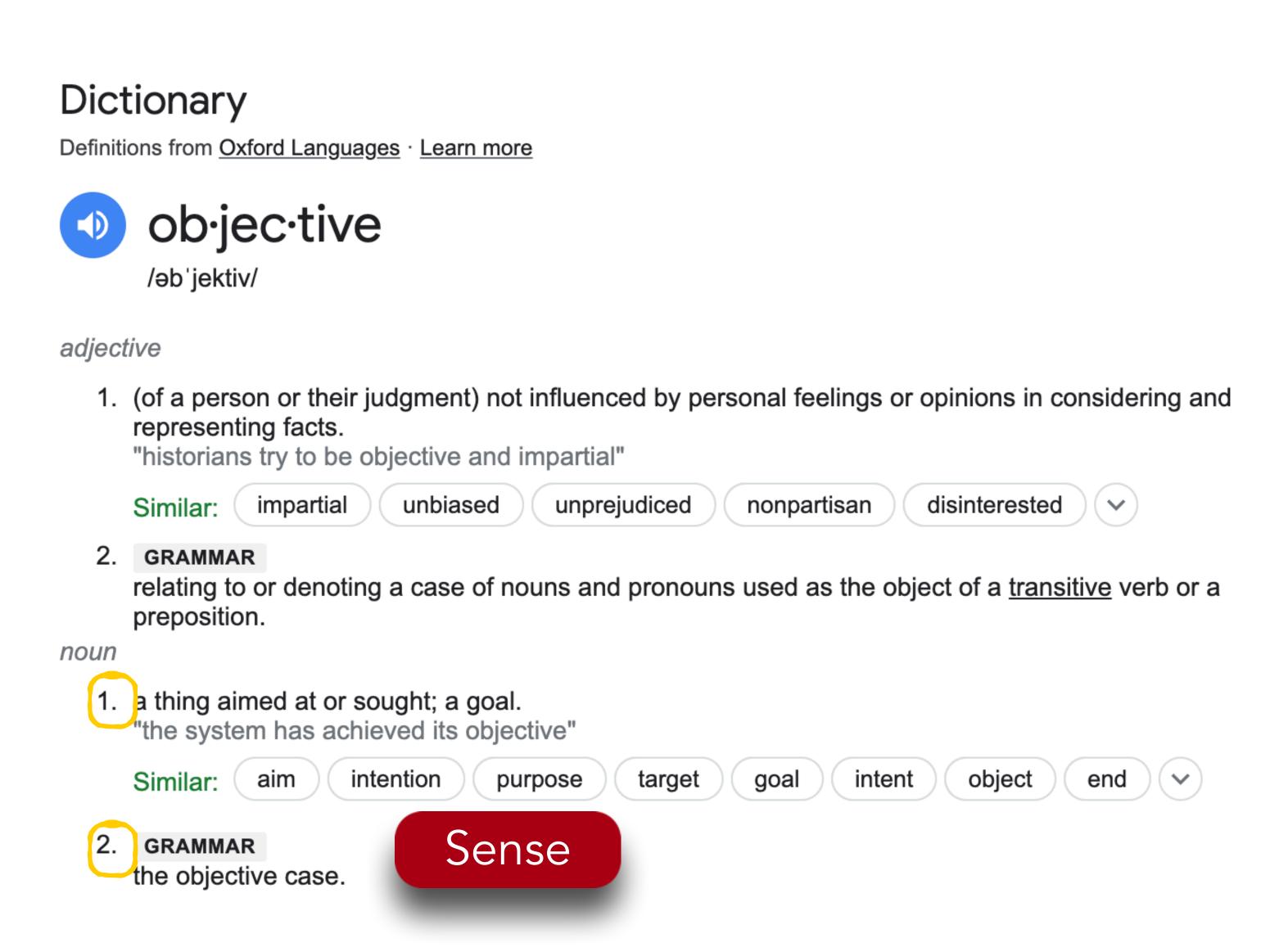
Similar: aim intention purpose target goal intent object end

2. GRAMMAR

the objective case.



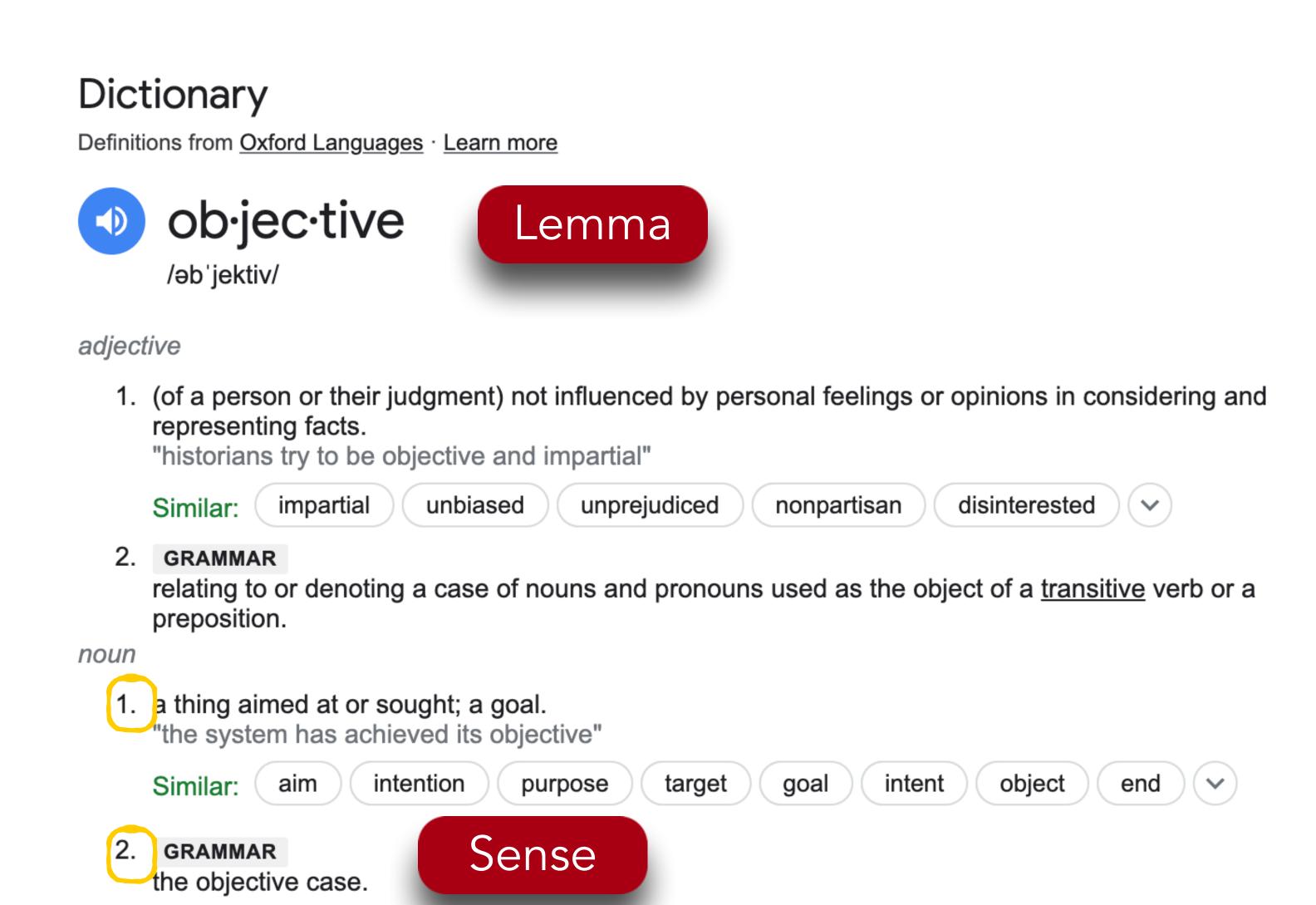
A sense or "concept" is the meaning component of a word



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#### Lemmas

- Canonical form
- For example, break, breaks, broke, broken and breaking all share the lemma "break"



object

intent

end

## What do words mean?

aim

Similar:

**GRAMMAR** 

the objective case.

intention

purpose

Sense

target

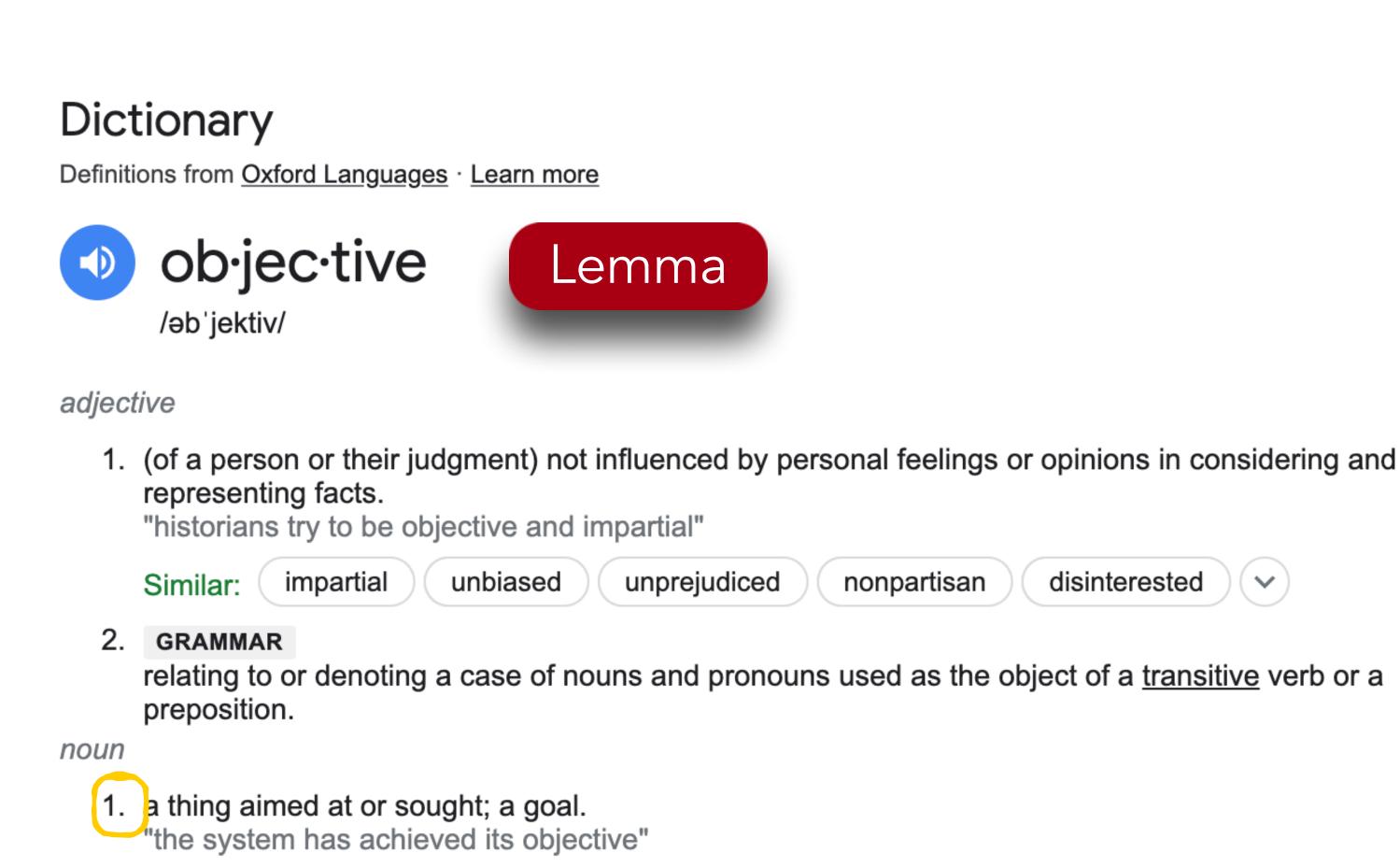
goal

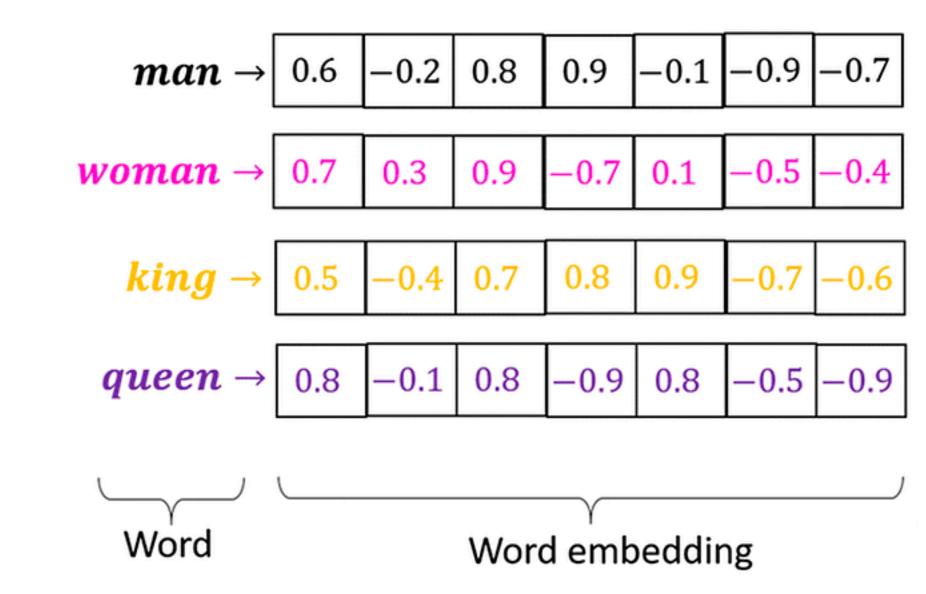
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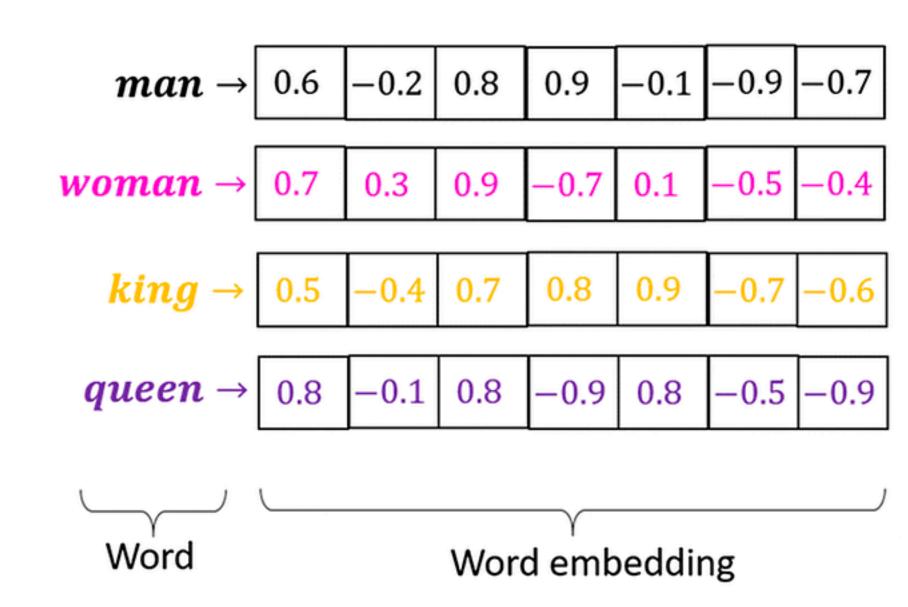
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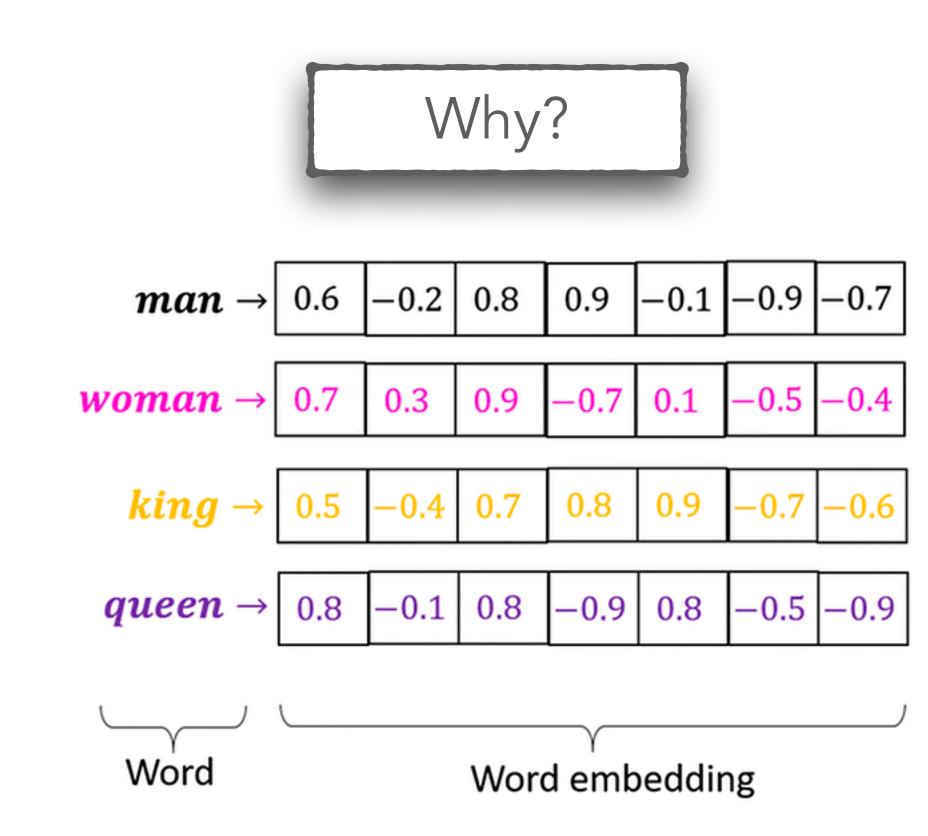
- Canonical form
- For example, break, breaks, broke, broken and breaking all share the lemma "break"
- Different from "stem"

Can be polysemous (have multiple senses)



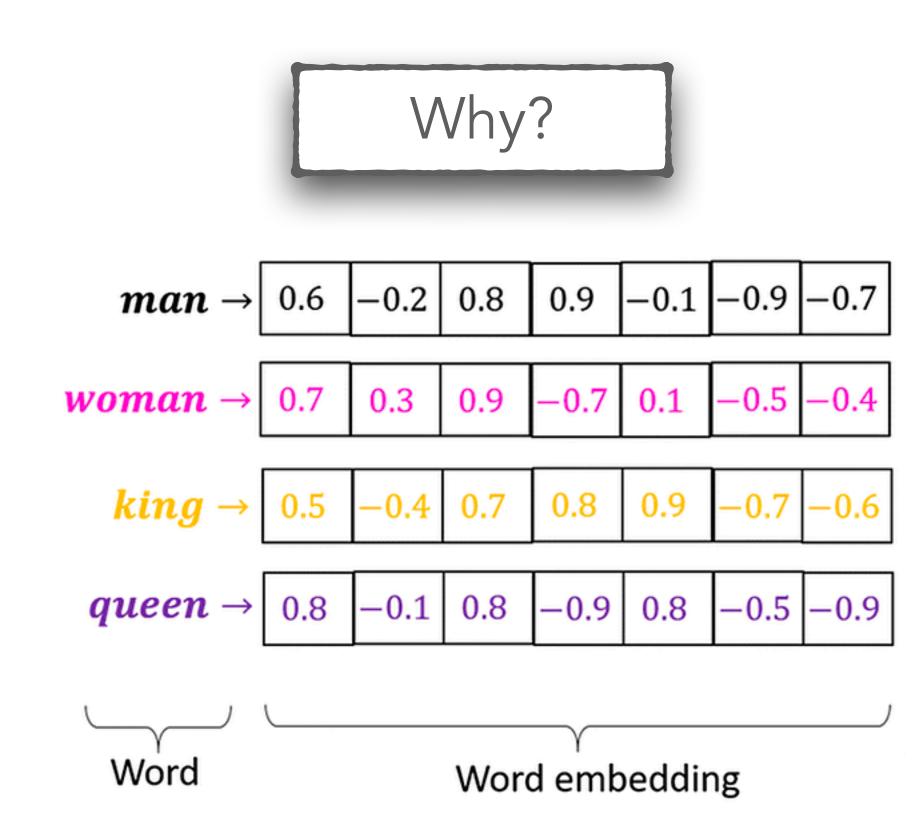




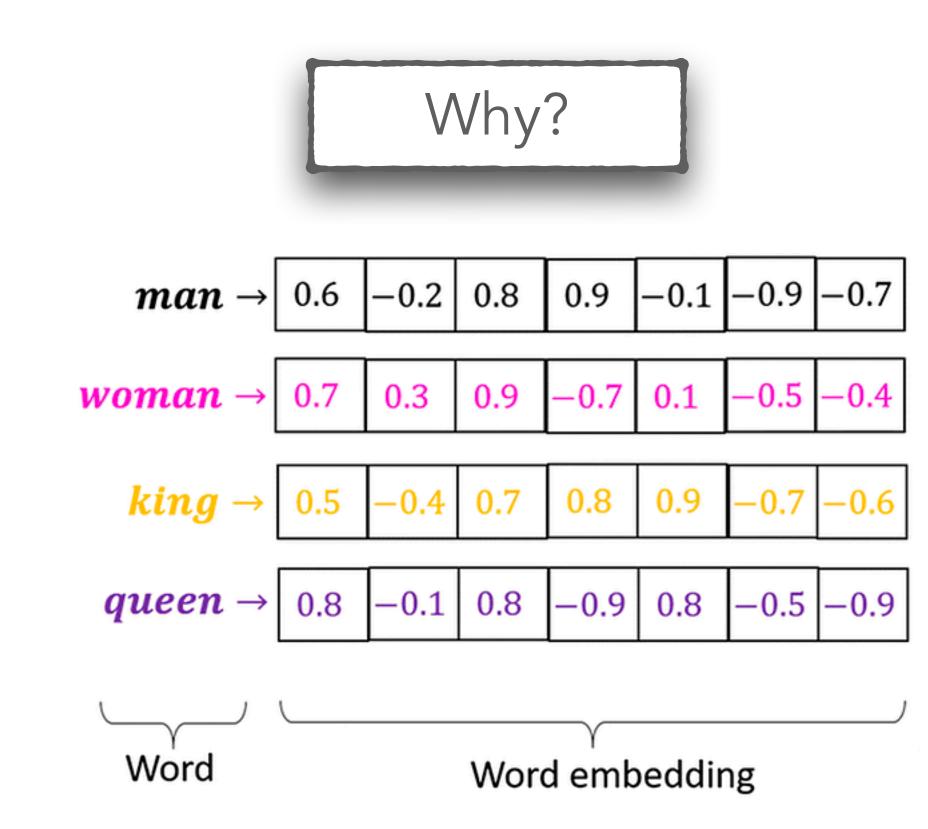


In NLP, we commonly represent word types with vectors!

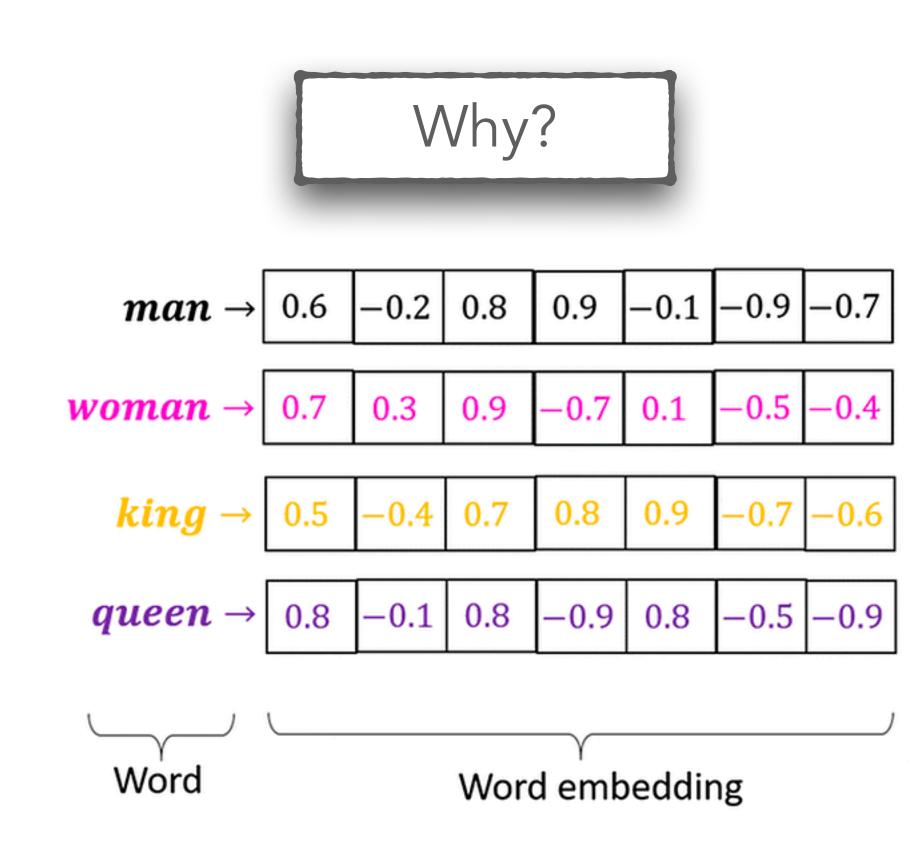
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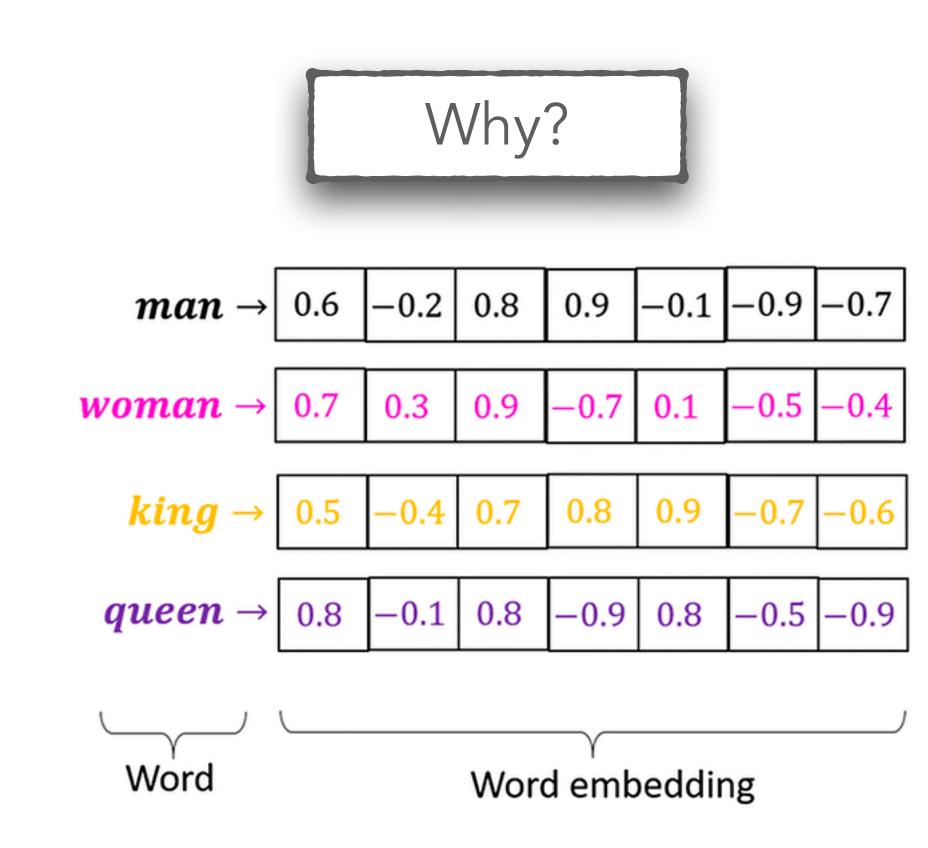
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  - Q: How tall is Mount Everest?
  - A: The official **height** of Mount Everest is 29029 ft



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- Similarity for plagiarism detection
- Word similarity
   can lead to
   sentence and
   document
   similarity

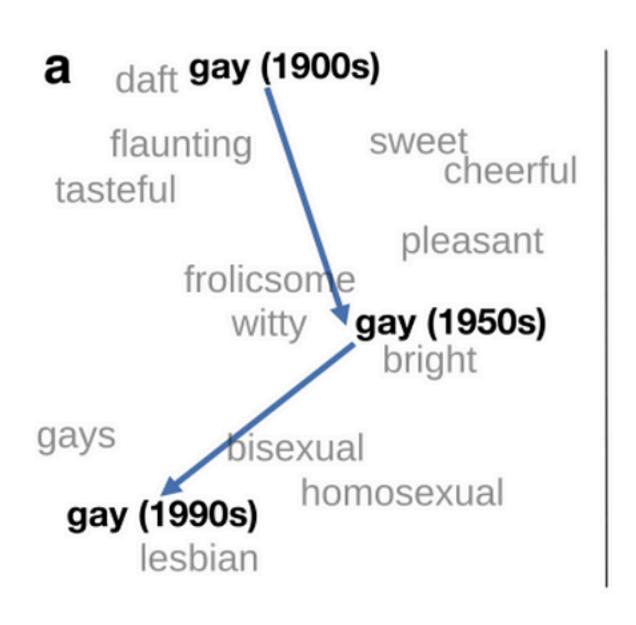
enough scale for companies to make profit from it. In order to be competitive with new technologies, the challenge of today's large companies is to create new business within their business (Garvin & Levesque, 2006). Furthermore, the two researchers emphasize a switch from downsizing and cost cutting to the creation, development and assistance of innovative new businesses. For existing companies the implementation of corporate entrepreneurship, in order to develop innovative businesses, is risky. Are the three types of entrepreneurship linked together over time? How long does it take to change behavior of the firm as a whole? If the five attributes are created, do all grow together equally, or do some grow faster and earlier than others? How do the importance and intensities of the attributes differ both absolutely and relatively in each type? These are the questions that a longitudinal study such as this can attempt to answer to shed light on the nature of organizations' adjustments to hostile environments. According to Garvin and Levesque (2006) implementing new ventures face several barriers, and can only be successful if a blend of old and new organizational traits is done. To achieve a blend of old and new, an organization needs to rely on employee innovative behavior in order to succeed in dynamic business environments (Yuan & Woodman, 2010).

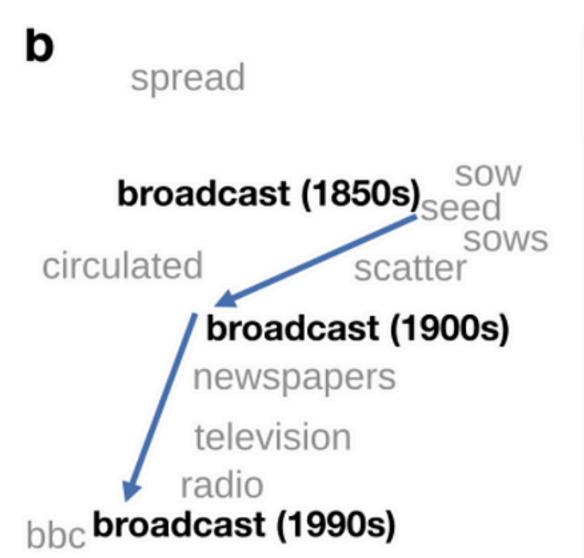


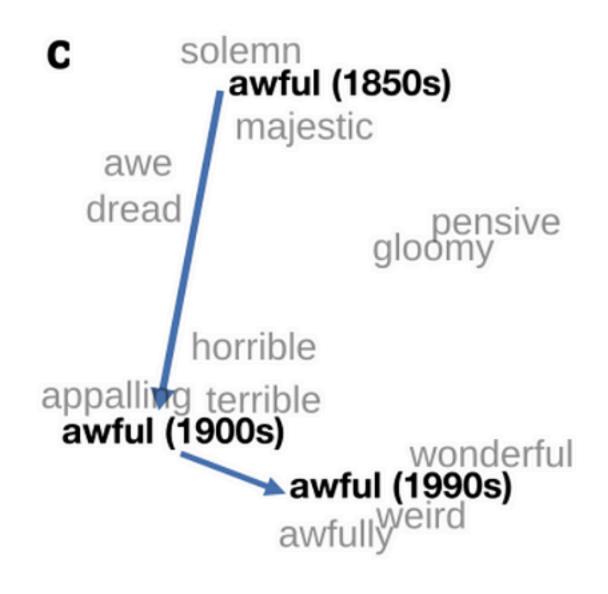
The downside is potentially very damaging to a startup's lifespan: if a startup lands a pilot or POC with the corporation running the accelerator, they have very little bartering power or time to find other partners to test their solution with. The transition from manufacturing economy to service economy has led to a shift in business agenda from Original source onlinelibrary.wiley.com/stor...

...all grow together equally, or do some grow faster and earlier than others? These are the questions that a longitudinal study such as this can attempt to answer to shed light on the nature of organizations' adjustments to hostile environments. Of the many ways to adjust, two stand out at

- Visualizing
   semantic change
   over time
- New words: dank, cheugy, rizz, shook, situationship, simp, delulu, cottagecore







~30 million books, 1850-1990, Google Books data

Cosine similarity of two vectors

$$\cos(\vec{v}, \vec{w}) = \frac{\vec{v} \cdot \vec{w}}{|\vec{v}| |\vec{w}|}$$

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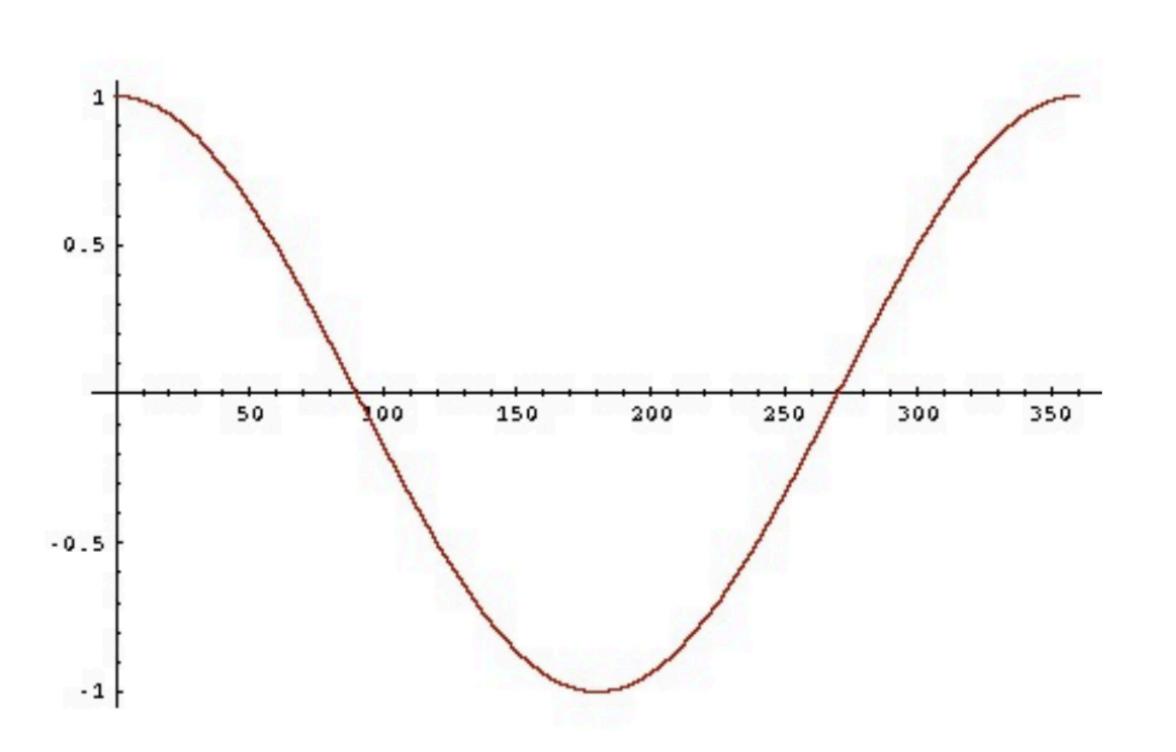
$$\cos \theta = \frac{\vec{v} \cdot \vec{w}}{|\vec{v}| |\vec{w}|}$$

## Cosine as a similarity metric

-1: vectors point in opposite directions

+1: vectors point in same directions

0: vectors are orthogonal

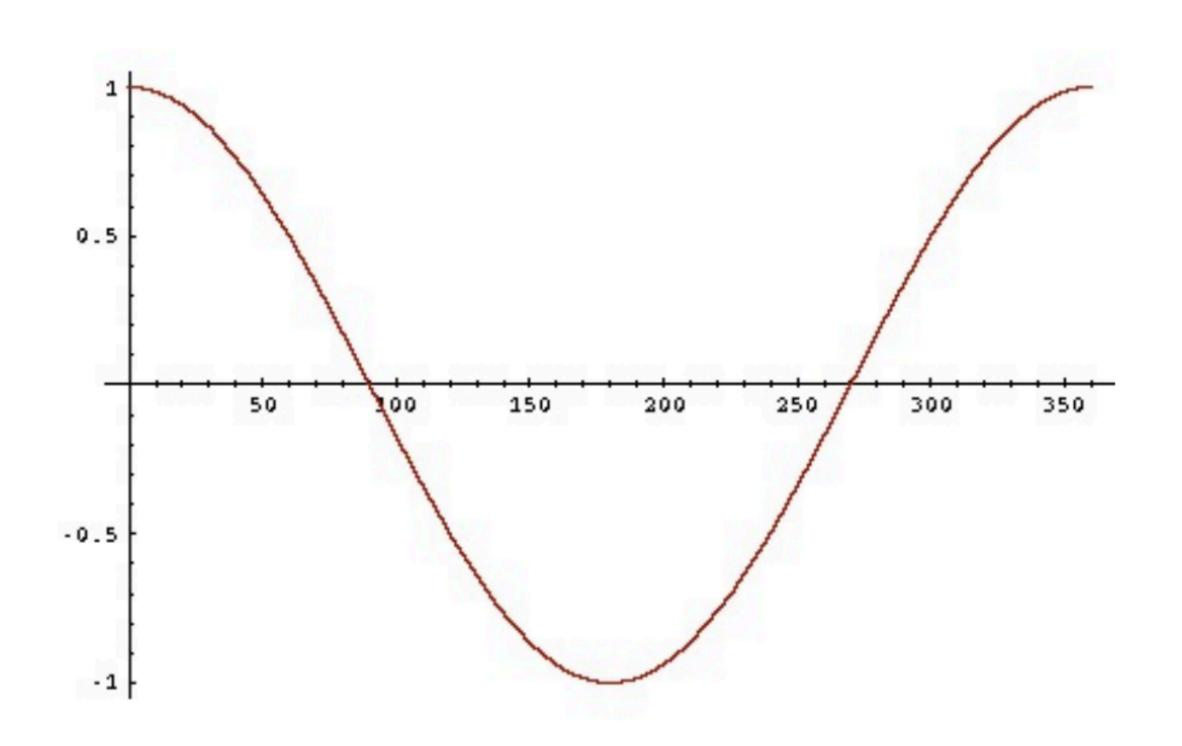


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Greater the cosine, more similar the words

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  - Let alone more complex is-a or part-of relations
- Discrete representations of meaning!
- Later: feature representations which are continuous

Unigram Vectors: Represent each word as a vector of zeros with a single 1 identifying the index of the word

#### vocabulary

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love

the

movie

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Dot product is zero! These vectors are orthogonal

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#### One hot vector

How can we compute a vector representation such that the dot product correlates with word similarity?

Dot product is zero! These vectors are orthogonal

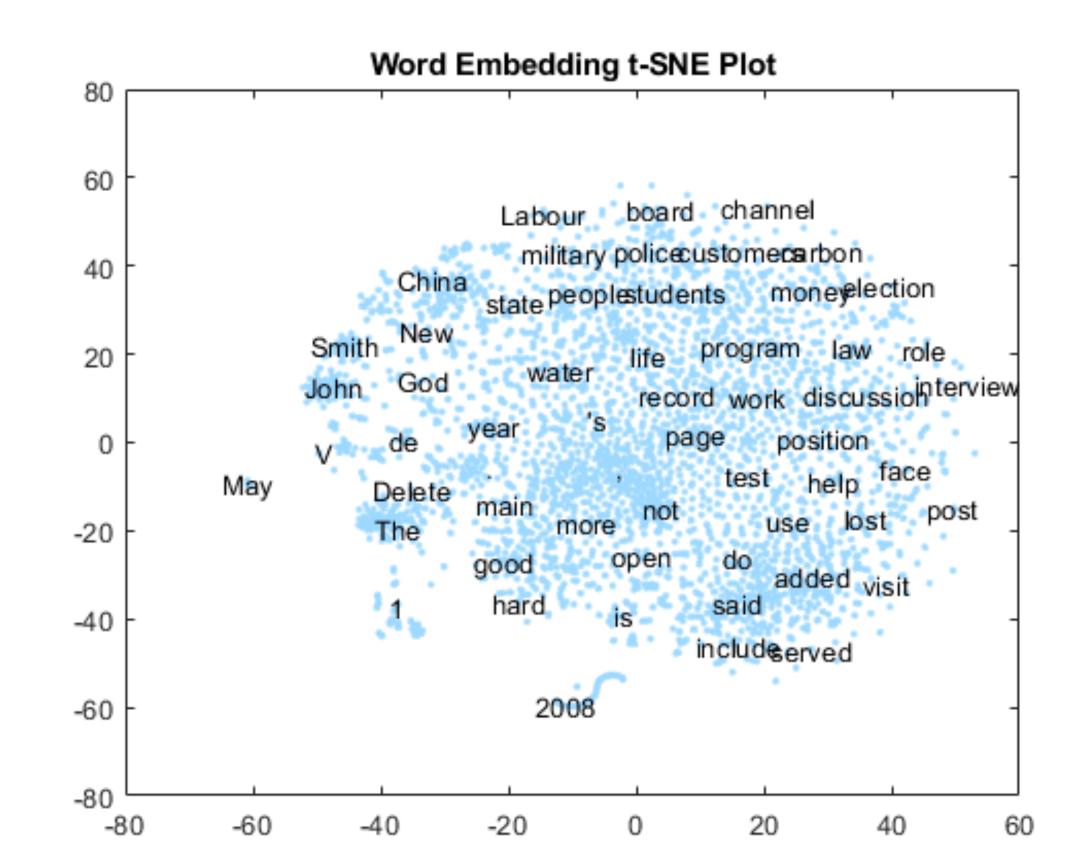




Project high-dimensional embeddings down into 2 dimensions

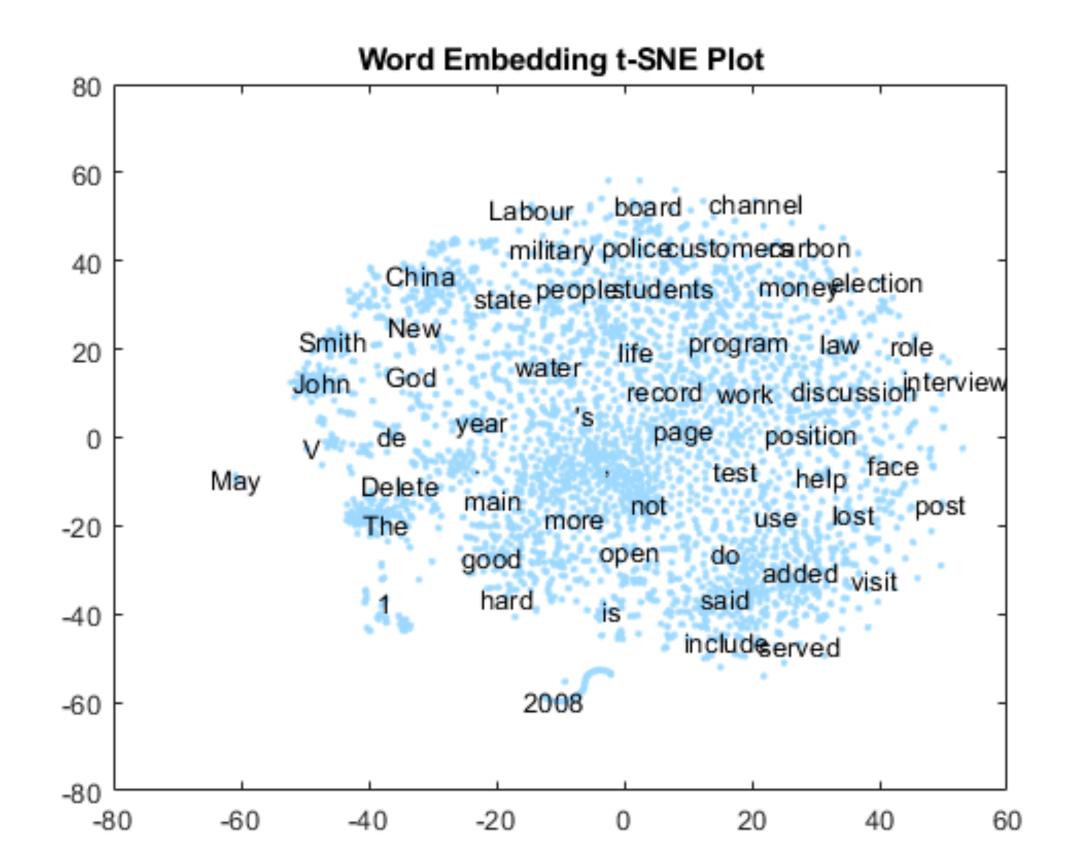
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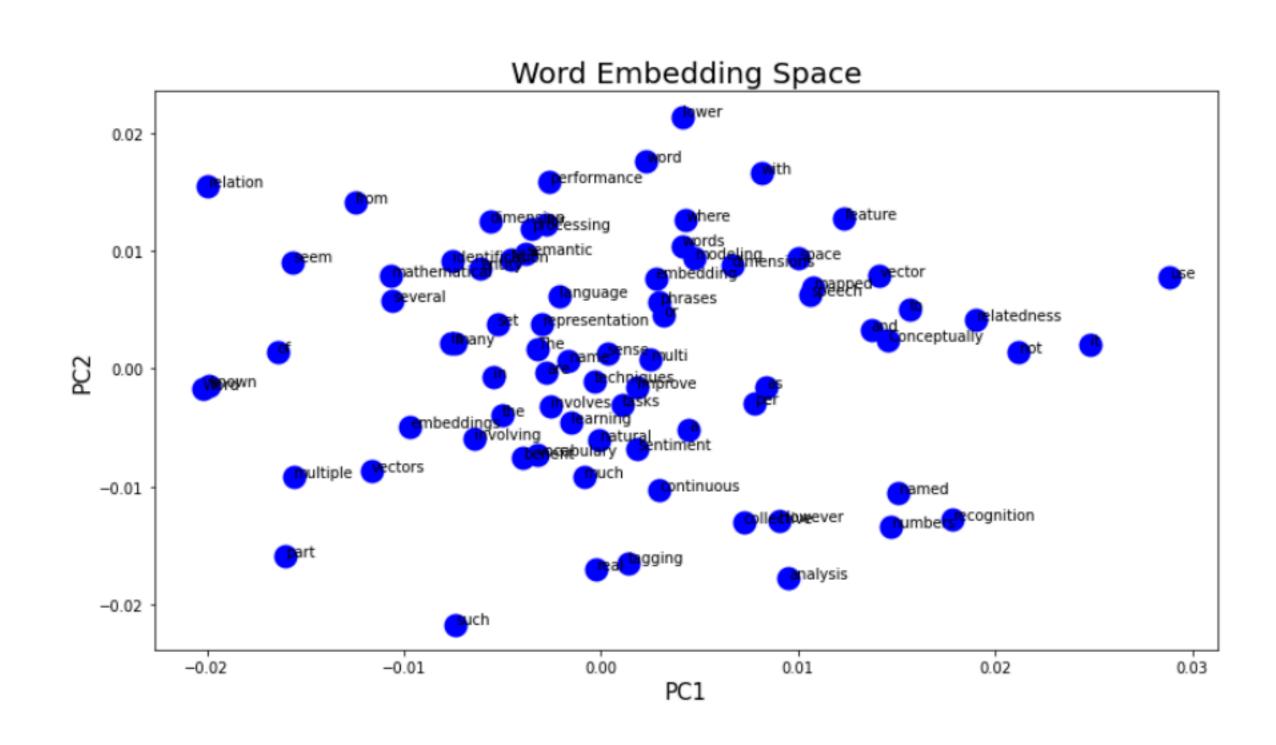
Most common projection method: t-SNE



Project high-dimensional embeddings down into 2 dimensions

- Most common projection method: t-SNE
- Also: Principal Component Analysis (PCA)





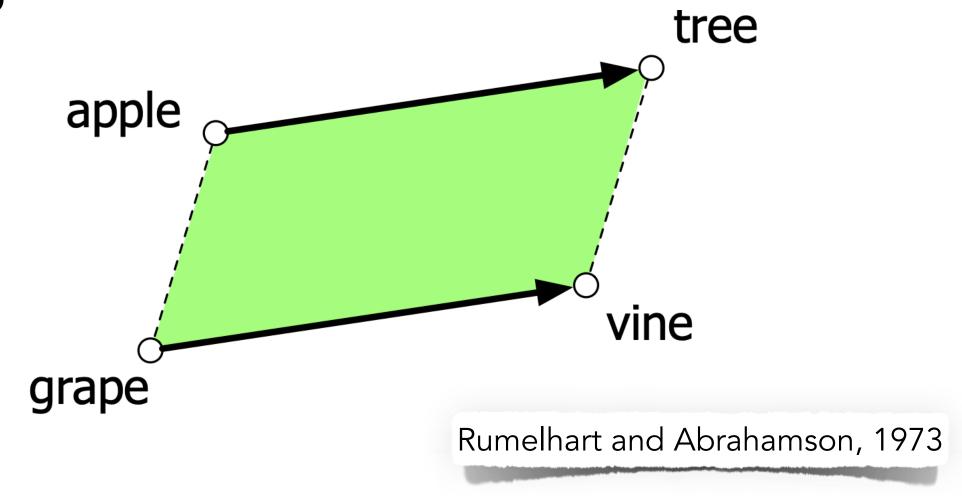


• The classic parallelogram model of analogical reasoning

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- Word analogy problem:
  - "Apple is to tree as grape is to ..."



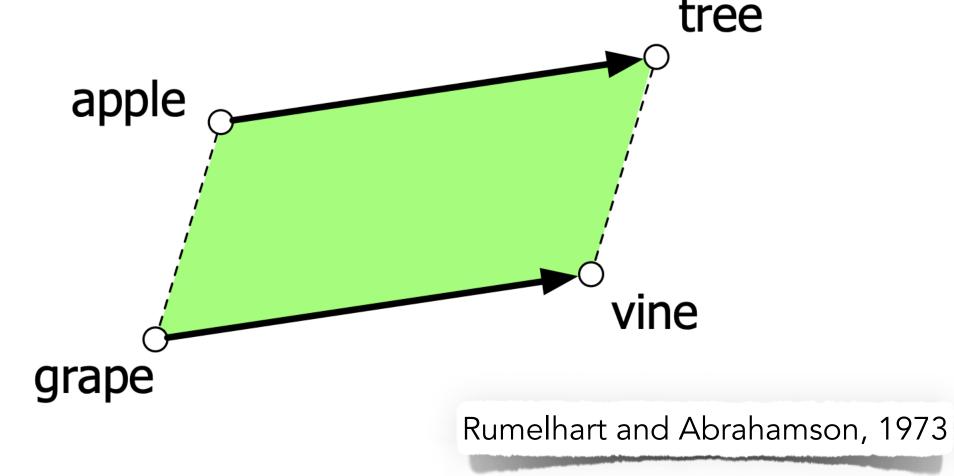
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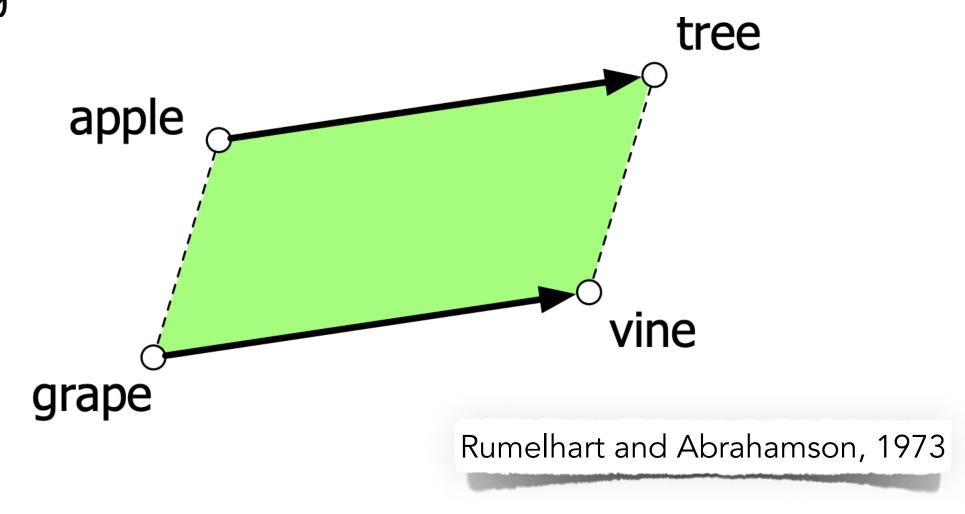
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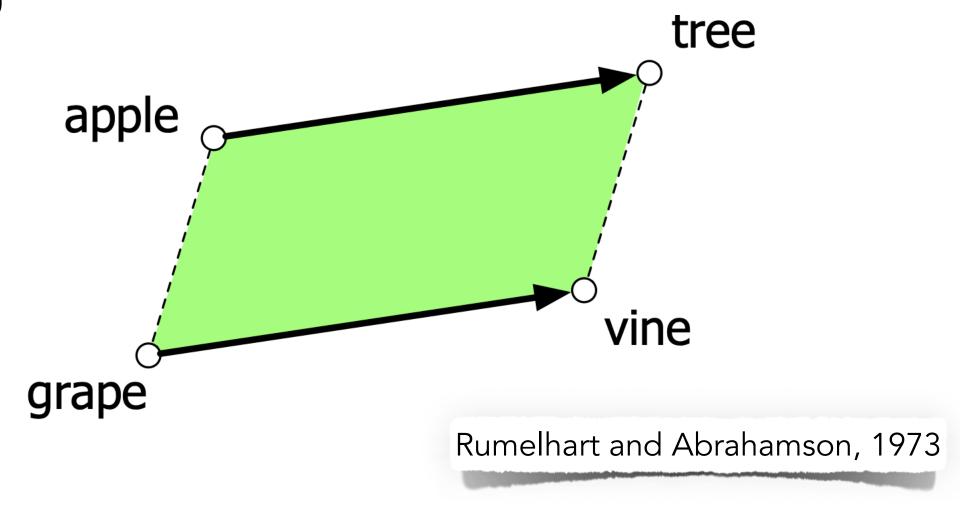
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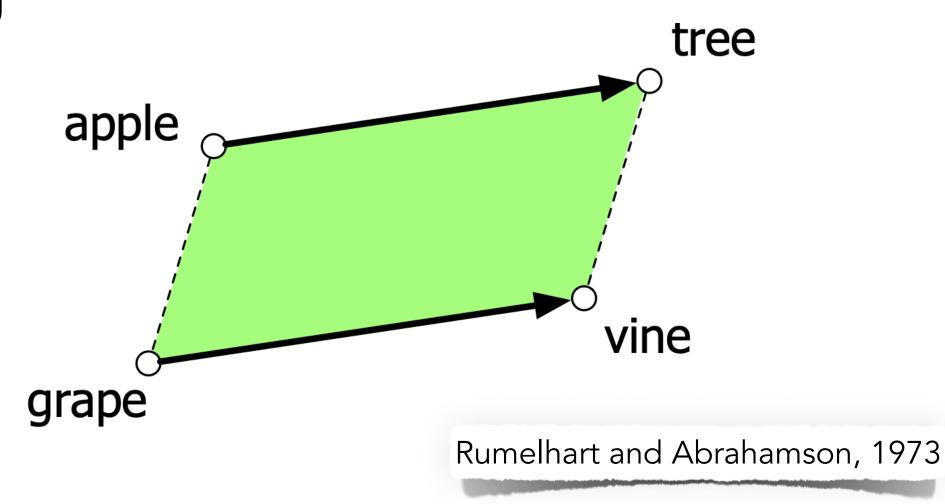
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For a problem  $a:a^*::b:b^*$ , the parallelogram method is:

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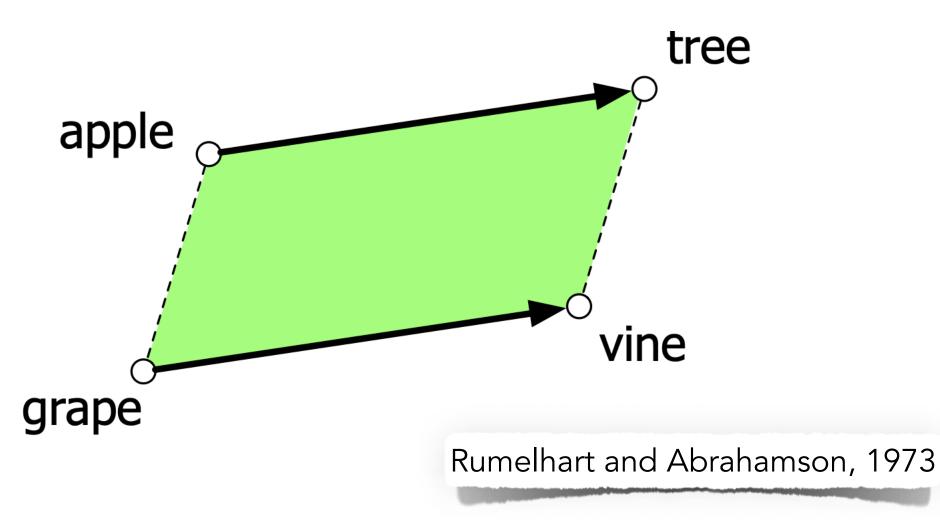
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Maximize similarity = minimize distance

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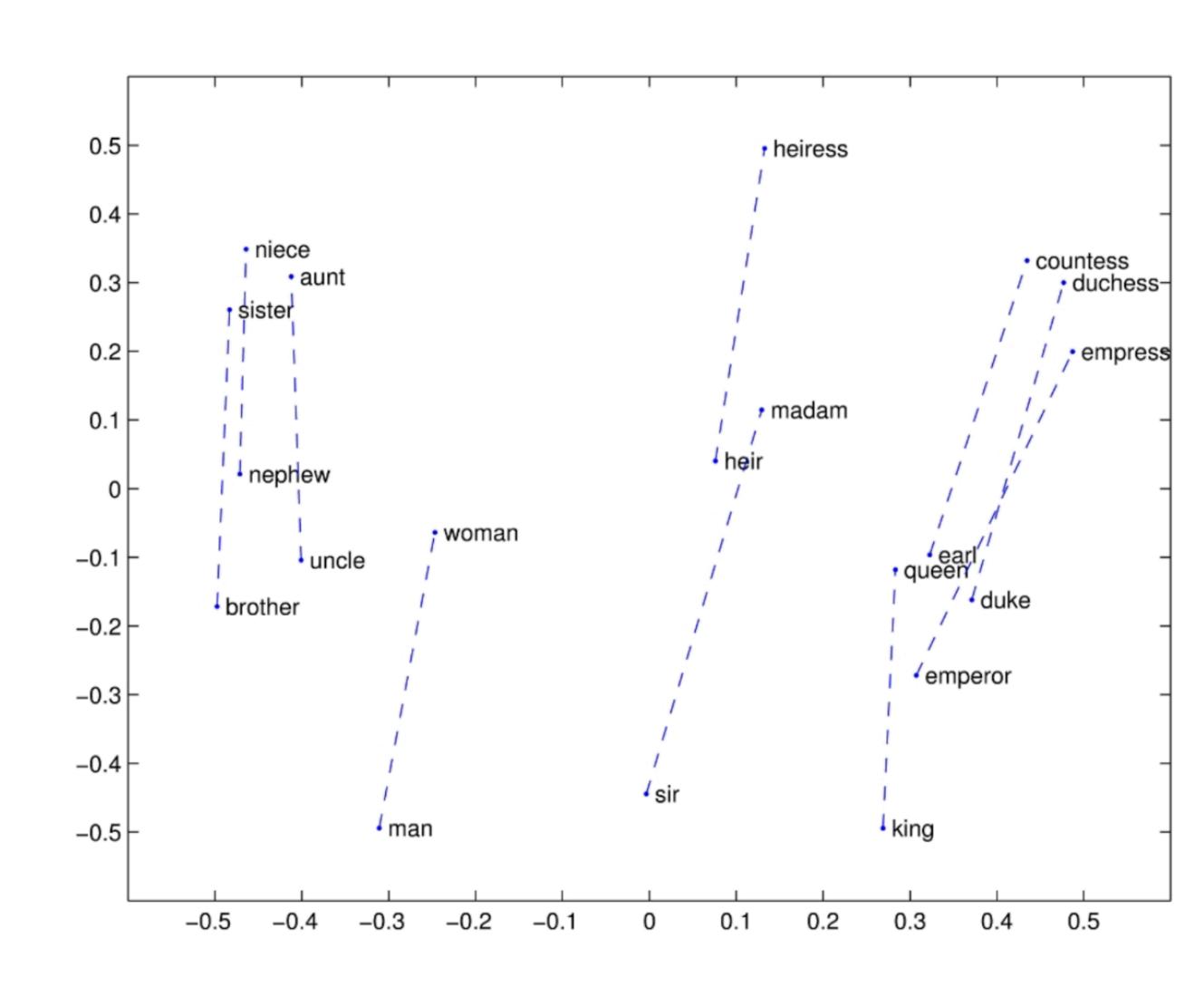
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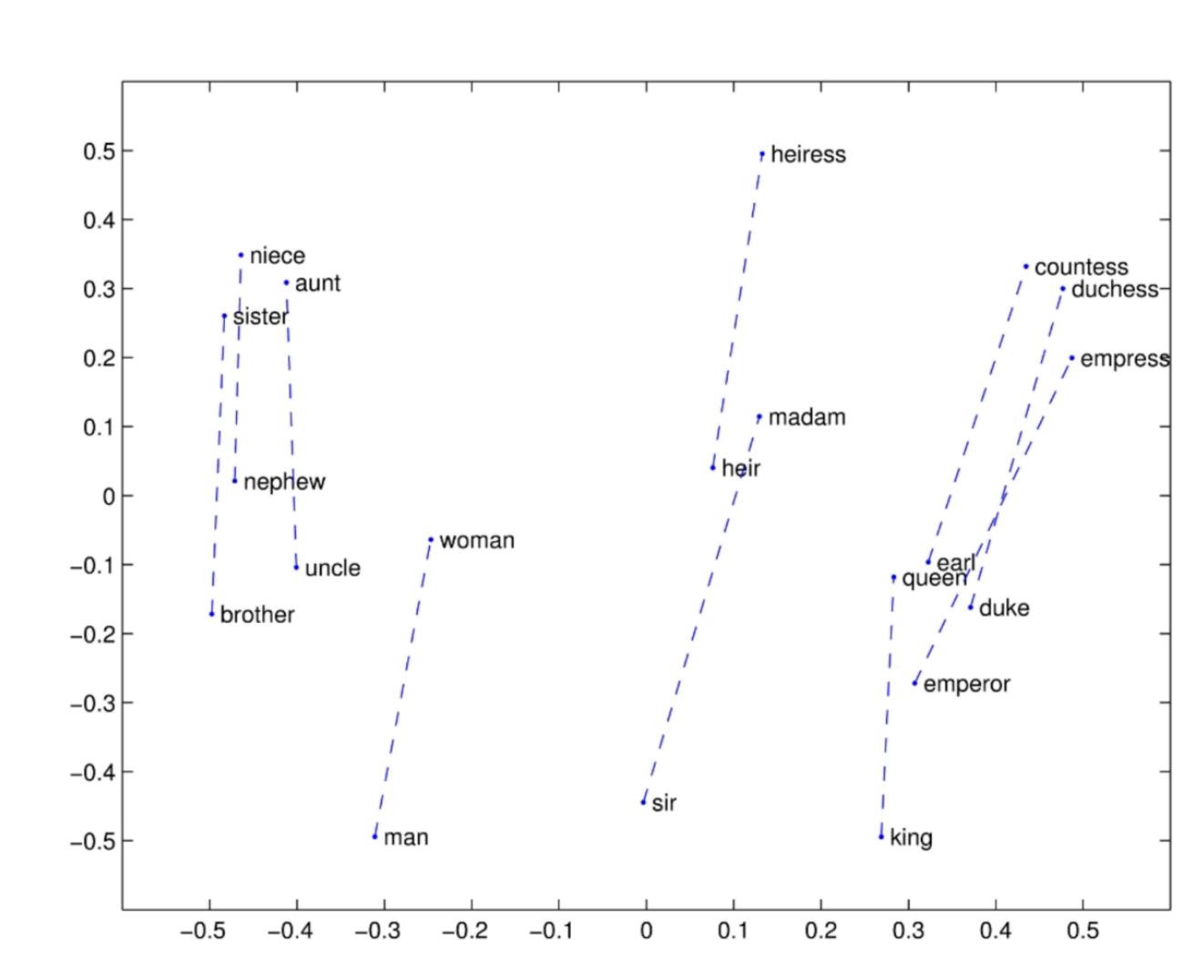
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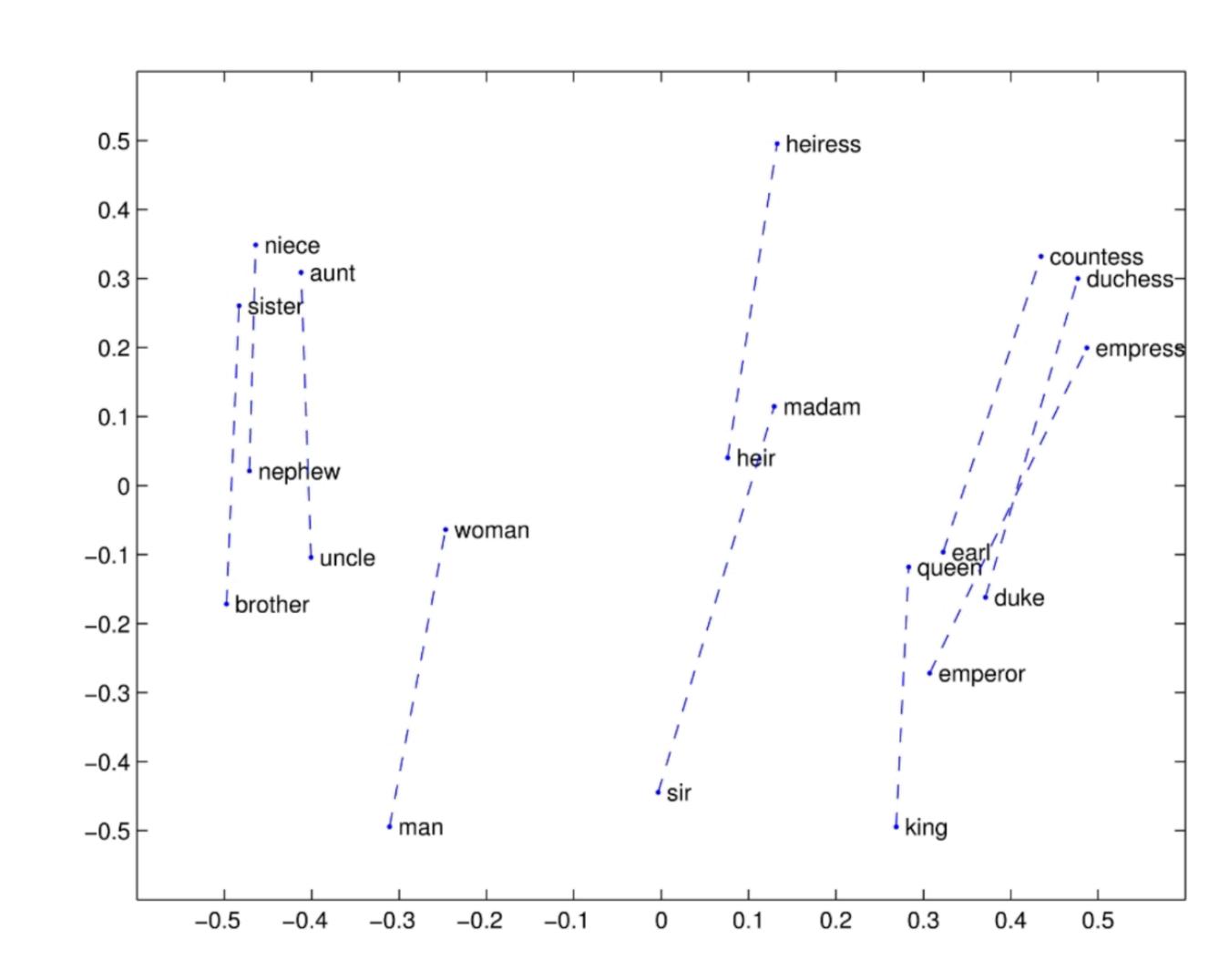




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  - Understanding analogy is an open area of research

